

Chapter 40E-1 General and Procedural

[Note: The text on this page and the next provides a brief overview of the provisions of part of Chapter 40E-1, Florida Administrative Code (F.A.C.). The overview text is intended only to provide a basic understanding of the Chapter, and should not be used in place of the duly-adopted rule language or in a manner which is inconsistent with Chapter 40E-1, F.A.C.]

This Chapter provides information about the District's permitting, and compliance and enforcement.

While there are many instances where each of the above subjects affects environmental resource permitting, the remaining discussion of Chapter 40E-1 will emphasize the matters which relate to environmental resource permits which are located in "Part VI Permits".

An **individual or general environmental resource permit**, or (for certain grandfathered activities) an individual or general surface water management permit or a wetland resource permit, must be obtained prior to constructing, altering, operating, maintaining, repairing, or abandoning any surface water management system, dam, impoundment, reservoir, and appurtenant works involving dredging and filling; and prior to establishing and operating a mitigation bank. A **conceptual environmental resource permit** (which does not authorize construction or operation) may be obtained for proposed surface water management systems or mitigation banks. The District is authorized to issue permits for other activities, listed in Section 40E-1.602.

Applicants for Environmental Resource Permits shall file their applications at the appropriate District Service Center:

Project Location:	Appropriate District Service Centers:
Broward, Miami-Dade, Monroe, and Palm Beach, and the C-139 and Feeder Canal Basins of Hendry County.	West Palm Beach Headquarters
Charlotte, Collier, Hendry and Lee	Lower West Coast Service Center
Martin and St. Lucie	Martin/St. Lucie Service Center
Glades, Highlands, Okeechobee,	Okeechobee Service Center
Orange, Osceola, and Polk	Orlando Service Center

Applications shall be filed using the appropriate form, accompanied by the correct fee and all required information. A permit application will not be deemed complete until the

correct number of copies and sufficient responses to all requests for additional information are submitted. Applications and responses to additional information can be submitted electronically via the District's website www.sfwmd.gov/ePermitting.

The District has 30 days to determine whether all information necessary both to evaluate the application and to make the application complete has been received. The District shall notify the applicant as to when the application is complete.

If the District notifies the applicant that the application is incomplete, the applicant has 90 days in which to respond. Failure to respond in a timely manner may lead to District denial without prejudice of the application. Chapter 40E-1 contains descriptions of numerous situations which involve the input of other agencies and the activities affecting state-owned lands. Processes, other than denial, for dealing with an incomplete application are set forth.

There are procedures for notifying persons who have so requested, about applications received. The receipt of an application for an individual environmental resource permit shall be advertised by the District in a general circulation newspaper within 45 days of receipt. Interested persons can subscribe to receive various notices via the District's website www.sfwmd.gov/ePermitting.

Once the application is complete, a staff review summary ["staff report"] will be prepared and will include staff recommendations, including whether to approve, deny, or approve with conditions. Copies of the summary will be provided to the applicant and others who have so requested. The Chapter includes definitions of those situations in which notice of proposed District action on an application will be published by the District or those when the District may require the applicant to publish such a notice in a general circulation newspaper.

Conceptual and individual permits for approval shall be acted upon by the Executive Director or designee no later than 90 days after the application is complete. Standard general permits shall be acted upon no later than 60 days after completeness. Processes for waiving the time frames and appealing a proposed or final District action have been established. Applications recommended for denial shall be heard by the Governing Board within the time frames listed above.

The District assesses permit application processing fees to defray the required costs of evaluating, processing, advertising and mailing. Fees are non-refundable unless the proposed activity is found to be exempt or the amount is incorrect. Failure to pay the prescribed fee shall be grounds for application denial. (Certain counties and municipalities may request a fee waiver. See Chapter 40E1.607(6)(F.A.C.).

Processes for denying, suspending, revoking, modifying, renewing, and transferring permits are set forth. Forms which are used in connection with the Environmental Resource Permit process are listed. In addition, forms can be accessed on-line at no charge.

Source Documents Editor's Notes

Chapter 40E-1, F.A.C.

Section 40E-1.701 was repealed effective October 3, 1995.

**Rules of the
South Florida Water Management District**

**GENERAL AND PROCEDURAL
CHAPTER 40E-1, F.A.C.**



Effective November 1, 2009

CHAPTER 40E-1 GENERAL AND PROCEDURAL (Formerly 16CA-1; 16K-1)

- 40E-1.001 Policy, Objectives and Implementation Dates. (Repealed)
- 40E-1.002 Scope of Jurisdictions. (Repealed)
- 40E-1.003 Powers and Duties. (Repealed)
- 40E-1.004 Appropriation of Funds. (Repealed)
- 40E-1.005 Definitions. (Repealed)
- 40E-1.006 Permits Required. (Repealed)
- 40E-1.0061 Bond. (Repealed)
- 40E-1.0062 Reapplying With Permits. (Repealed)
- 40E-1.007 Power to Enforce. (Repealed)
- 40E-1.008 General Permit Procedure. (Repealed)
- 40E-1.009 Filing of Citizen Objection or Complaints. (Repealed)
- 40E-1.010 Administrative Enforcement Procedures. (Repealed)
- 40E-1.011 Quasi-Judicial Hearings Before the Board. (Repealed)
- 40E-1.0111 Parties to Proceedings. (Repealed)
- 40E-1.0112 Pleadings. (Repealed)
- 40E-1.0113 Witness Fees. (Repealed)
- 40E-1.012 Request for Hearing. (Repealed)
- 40E-1.013 Petitions for Declaratory Statements. (Repealed)
- 40E-1.014 Administrative Determination of Validity of Regulation. (Repealed)
- 40E-1.015 Procedure for Adoption of Rules. (Repealed)
- 40E-1.016 Description of Organization. (Repealed)
- 40E-1.017 Agenda and Scheduling of Meetings and Workshops. (Repealed)
- 40E-1.018 Procedures Under Consultants' Competitive Negotiations Act. (Repealed)

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40E-1.021 Definitions.

When used in this chapter, Chapters 40E-4, 40E-40, 40E-41, 40E-61, and 40E-400, F.A.C.:

(1) “e-Permitting website” means the District’s website address for e-Permitting at <http://my.sfwmd.gov/ePermitting>. After accessing the e-Permitting website, the user clicks the start icon on the e-Permitting homepage.

(2) “Electronic filing” means filing or submission of an Environmental Resource, Surface Water Management Permit or Consumptive Use Permit Application; Response to Request for Additional Information; or Request for Permit Transfer at the District’s e-Permitting website. Electronic filing is governed by the provisions of Chapter 668, F.S. If the applicant or sender of electronic data inhibits the ability of the District to store or print the electronic data, it shall not be considered filed with or received by the District. Filings received by the District after 5:00 p.m. shall be deemed filed on the next regular business day.

(3) “Electronic mail” means an electronic or computer file that is transmitted between two or more telecommunications devices; computers; computer networks, regardless of whether the network is a local, regional, or global network; or electronic devices capable of receiving electronic messages, regardless of whether the message is converted to hard copy format after receipt, viewed upon transmission, or stored for later retrieval. Electronic mail received after 5:00 p.m. shall be deemed received on the next regular business day.

(4) “Electronic record” means information that is stored in an electronic medium and is retrievable in a perceivable form, including public records as defined in Section

119.011, F.S.

(5) "Electronic signature" means an electronic sound, symbol, or process attached to an electronic record and executed or adopted by a person with the intent to sign the record.

Specific Authority 373.044, 373.113, 668.003, 668.004, 668.50 FS. Law Implemented 668.003, 668.004, 668.50 FS. History—New 10-1-06.

Part I General

40E-1.100 Uniform Rules of Procedure and Statement of District Organization and Operation.

(1) Procedures governing the District's proceedings under Chapter 120, F.S., including rulemaking, meetings and workshops, conducting proceedings by communications media technology, declaratory statements, decisions determining substantial interests, licensing, and variances and waivers, are contained in Title 28, F.A.C., Uniform Rules of Procedure. Exceptions to the Uniform Rules of Procedure were granted by the Administration Commission and are set forth in Chapter 40E-0, F.A.C. Each exception is also delineated within the appropriate substantive rules in Chapters 40E-1, 40E-3, 40E-4, and 40E-21, F.A.C.

(2) General information about the District is contained in the SFWMD "Statement of District Organization and Operation", a non-rule document published pursuant to Section 120.54(5), F.S., and Uniform Rules of Procedure Chapter 28-101, F.A.C. The Statement of District Organization and Operation provides information on the District's mission, statutory authority, delegation of authority and duties pursuant to Chapter 373, F.S.; governing board and internal organization and functions; public assistance programs and opportunities, identification of the agency clerk and the official reporter; and how to obtain District documents and publications, including district statutes, rules, and permit applications or authorizations; and document filing procedures.

Specific Authority 120.54(5) FS. Law Implemented 120.54(5) FS. History—New 7-2-98.

40E-1.106 Post-Employment Restrictions.

(1) For a period of two years following separation of employment, or expiration of term of office, no former specified employee or official shall personally represent another person or entity for compensation before the District in connection with any matter where the person participated personally and substantially, within their last two years of employment or service on the board, and where the person has actual knowledge of the matter.

(2) Such representation is prohibited unless the Executive Director consents to such representation.

(3) This section shall apply to all specified employees hired after November 1, 1997; all employees promoted to a position which is included in the definition of specified employee after November 1, 1997; and all officials appointed after November 1, 1997.

(4) This section does not apply to former specified employees or officials working for another government agency.

(5) For the purposes of this rule, the following definitions shall apply:

(a) "Matter" shall include any judicial or other proceeding, application, request for

ruling or other determination, contract, claim, controversy or investigation.

(b) "Official" shall mean any member of the Basin Board(s) or Governing Board of the South Florida Water Management District.

(c) "Represent" or "Representation" shall mean actual physical attendance on behalf of an individual or entity, for compensation, at a proceeding before the South Florida Water Management District or personal communications made with any officials, employees, or advisory board members of the South Florida Water Management District in their official capacity, on behalf of an individual or entity, including the filing of documents or the writing of letters on behalf of said individual or entity.

(d) "Specified employee" shall mean any management position within the Executive Council of the District.

Specific Authority 112.311, 112.313(13), 373.044 FS. Law Implemented 112.311, 112.313(13) FS. History—New 10-22-97.

40E-1.1065 Misuse of Public Position.

(1) No District public official, Advisory Board Member or employee shall initiate use of their official District title or District position to influence voters on pending issues unless the Governing Board has adopted an official position on the issue. District public officials, advisory board members and employees may provide factual information based upon District policies, databases, research or other District information sources upon request from the public, to support informing the public with accurate, current, relevant information.

(2) The Chairpersons of the Governing Board and Big Cypress Basin Board serve as elected spokespersons for these bodies and must use the privilege and trust placed in the chairperson position to promote the policies and adopted positions of the Governing Board and the Big Cypress Basin Board, and must not use the position of Chairperson to represent personal positions or interests.

(3) The preceding policies shall not infringe in any way upon the rights of District public officials, advisory board members or employees as responsible citizens to take a personal position on any issues outside of the direct bounds of their responsibilities as District public officials, advisory board members or employees of the District.

(4) Any District public official, advisory board member or employee may comply with this rule by including a disclaimer in any written or oral statements which could reasonably be interpreted to influence voters. The disclaimer should disclose that the statements are not those of the District, but rather that they are statements of the person as a private citizen.

(5) For the purposes of this rule, the following definitions shall apply:

(a) "Advisory Board" shall mean any advisory board created and appointed by the South Florida Water Management District Governing Board or Basin Board whose sole or primary responsibility is to recommend action or give advice to the South Florida Water Management District Governing Board or Basin Board.

(b) "District public official" shall mean any member of the Basin Board(s) or Governing Board of the South Florida Water Management District.

Specific Authority 112.311, 112.313(6), 373.044 FS. Law Implemented 112.311, 112.313(6) FS. History—New 10-22-97.

40E-1.125 Public Information and Inspection of Records.

(1) For purposes of this section, "public records" are all records as defined in Chapters 119, 120, and 373, F.S. Unless exempt from public disclosure by law, District records can be inspected or copied either at the District's West Palm Beach Headquarters office, located at 3301 Gun Club Road, West Palm Beach, FL 33406 or any other District office where such records are maintained, during the hours specified in Rule 40E-1.121, F.A.C.

(2) Requests for public records may be submitted to any District office or department, or to the District Public Records Coordinator in writing at P. O. Box 24680, West Palm Beach, FL 33416, or by telephone at (407) 686-8800. Requestors are encouraged to submit requests in writing to expedite accurate processing of their requests. Requests should be sufficiently detailed to identify the documents sought to be examined or copied. The District shall provide access to, and copies of, District records upon payment of fees prescribed by law. The District shall not be obligated to create or assemble a new record to accommodate any request for information.

(3) Standard legal or letter size copies of public records shall be furnished upon request for a fee of \$0.15 per page or \$0.20 for double-sided copies. The District shall waive the first five dollars (\$5.00) of costs incurred for all requests for standard size copies. Unless otherwise stated herein, all other copies of public records, including records which require special medium, shall be furnished upon payment of the actual cost of duplication.

(4) When the nature or volume of records requested to be examined or copied requires extensive use of District clerical or supervisory personnel, or extensive use of information technology resources, the District shall charge, in addition to the actual cost of duplication, a special service charge. The term "extensive use" shall mean a time period longer than 30 minutes required to locate the record, review the record for statutorily exempt information, and copy the requested material. This special service charge shall be based on the cost incurred for such extensive use of information technology resources or the labor most of the personnel providing the service that is actually incurred by the District or attributable to the District for the clerical or supervisory assistance required, or both. These charges shall not apply to the first 30 minutes of service provided. Information technology resources shall mean data processing hardware and software services, supplies, personnel, facility resources, maintenance and training. Prior to the assessment of any special services charge, the District shall notify the Requestor that the information does not appear to be readily identifiable, possibly does not exist or will require additional time to review and copy. Upon agreement by the Requestor and payment of estimated charges, if any, the District will proceed to complete the request pursuant to subsection (5) herein.

(5) The District shall determine which District personnel is appropriate to provide assistance in fulfilling a request. The requestor shall be required to pay any estimated special service charges, as determined by the District, prior to District personnel rendering such services. Payment for special services shall also be imposed where extensive use of District personnel or information technology is necessary to determine whether the public record exists or is exempt from public disclosure, including instances where such investigation reveals that the record either does not exist or is exempt from disclosure. The District will refund to the requestor any monies deposited with the

District in excess of the actual costs incurred to fulfill a request, or, in the alternative, the requestor shall be required to remit additional monies to pay for any costs in excess of the monies deposited with the District. In the event the requestor fails to remit additional monies to cover costs in excess of the monies deposited by the requestor, the District may withhold releasing any public records produced pursuant to that request until those amounts are paid in full.

(6) All rules, forms and instructions used by the District in dealing with the public are available without cost. Rules relating to personnel matters may be obtained by contacting the District's Human Resources Division at the District's West Palm Beach Headquarters office and are also available without cost.

(7) The District may provide remote electronic access to public records determined by the District to be of interest to the general public. If remote electronic access is provided to the public, such service shall be performed pursuant to a contract with users and shall be provided free of charge.

(8) Copies of District records produced solely for general distribution may be furnished at no cost to the public; provided, however, that pre-printed copies of such records are available.

(9) Requests for District public records shall be provided to governmental and educational institutions at no cost.

Specific Authority 119.01, 119.085, 120.53, 282.303(1), 286.011, 373.044, 373.113 FS. Law Implemented 119.01, 119.07, 119.021, 119.085, 120.53, 286.011, 373.044, 373.113 FS. History—New 9-3-81, Formerly 16K-1.16(4), (7), Amended 5-11-93, 9-19-95.

40E-1.139 Complaints under the Americans with Disabilities Act.

(1) It is the policy of the District to provide an equal opportunity for access to District services, programs, activities, and facilities which are held open to the public by handicapped and disabled persons in keeping with Title III of the Americans With Disabilities Act of 1990, 42 USC 12101, et seq., and the regulations which implement the Act, 28 CFR 35.

(2) Interested persons may obtain information concerning handicapped and disabled accessibility to the District's services, activities, programs, and facilities which are held open to the public by contacting the facilities manager.

(3) Any affected person may file a complaint alleging discrimination on the basis of handicapped or disabled inaccessibility of District services programs, activities and facilities which are held open to the public.

(a) Complaints shall be filed with the facilities manager and shall specify to the best of the complainant's knowledge, the location and nature of the conduct or circumstances complained of;

(b) The complaint must be signed by the complainant or authorized representative and contain an address or telephone number where the complainant can be reached;

(c) The District shall promptly investigate the complaint and may require the complainant to furnish any additional information reasonably necessary to aid investigating the complaint;

(d) The District shall promptly provide to the Complainant a written decision which documents why the decision is consistent with the provisions of the Americans With

Disabilities Act and the regulations which implement the Act.

(4) The complaint procedure established by this subsection is intended to provide a prompt informal method of dispute resolution. Failure to file a complaint pursuant to this subsection will not preclude an affected person from following other remedies which may be available under state and federal law. A District decision regarding a complaint shall not be considered an agency action pursuant to Chapter 120, F.S.

Specific Authority 120.53(1), 373.044, 373.113 FS., 28 CFR 35.106, 35.107 Law Implemented 120.53, 286.26, 373.083 FS. CFR 35.106, 35.107 FS. History–New 5-11-93.

Part II Meetings, Hearings and Workshops

40E-1.200 Procedures for Agendas and Scheduling of Meetings and Workshops.

The District's procedures for agendas and scheduling of meetings and workshops, including communications by media technology, are set forth in the Uniform Rules of Procedure, Chapters 28-102 and 28-109, F.A.C.

Specific Authority 120.54(5) FS. Law Implemented 120.54(5) FS. History–New 7-2-98.

40E-1.208 Procedure for Abstaining from Voting Conflicts of Interest.

(1) No Board member shall vote in his or her official capacity upon any matter which:

(a) Would inure to the member's special private gain;

(b) The member knows would inure to the special private gain of any principal by whom the member is retained;

(c) The member knows would inure to the parent organization or subsidiary of a corporate principal by which the member is retained, other than an agency as defined in Section 112.312(2), F.S.; or

(d) The member knows would inure to the special private gain of a relative, as defined in Section 112.3143(1)(b), F.S., or business associates, as defined in Section 112.312(4), F.S., of the member.

(2) Prior to a vote being taken on a matter delineated in subsection (1), the member shall publicly state at the Board meeting the nature of the interest in the matter from which the member is abstaining from voting and this announcement shall appear in the minutes. The Secretary of the Board, in conjunction with Office of Counsel, shall prepare the appropriate memorandum of voting conflict as designated by the Florida Commission on Ethics which shall then be signed by the Board member, filed with the Board Secretary and incorporated into the minutes of the meeting with 15 days of the meeting.

(3) No member shall participate in any matter delineated in subsection (1), without first disclosing the nature of the member's interest in the matter as required by Section 112.3143(4), F.S. For purposes of this subsection, the term "participate" means any attempt to influence the decision by oral or written communication, whether made by the member or at the member's direction.

Specific Authority 112.3143, 120.53(1), 373.044, 373.113 FS. Law Implemented 120.53, 373.079 FS. History–New 5-11-93.

Part III Rulemaking Proceedings

40E-1.300 Rulemaking Procedures.

The District's procedures for rulemaking are set forth in Section 120.54, F.S., and Uniform Rules of Procedure, Chapter 28-103, F.A.C.

Specific Authority 120.54(5) FS. Law Implemented 120.54(5) FS. History—New 7-2-98.

Part IV Declaratory Statements

40E-1.400 Procedures Regarding Declaratory Statements.

The District's procedures regarding declaratory statements issued pursuant to Section 120.565, F.S., are set forth in Uniform Rules of Procedure, Chapter 28-105, F.A.C.

Specific Authority 120.54(5) FS. Law Implemented 120.54(5) FS. History—New 7-2-98.

Part V Decisions Determining Substantial Interests

40E-1.500 Procedures for Proceedings which Determine Substantial Interests and Associated Mediation.

The District's implementing procedures, Sections 120.569 and 120.57, F.S., for proceedings determining substantial interests and associated mediation are set forth in Uniform Rules of Procedure Chapter 28-106, F.A.C., and District's exceptions thereto, are set forth in this part in Rule 40E-1.511, F.A.C. This part does not apply to District investigations or probable cause determinations preliminary to agency action.

Specific Authority 120.54(5) FS. Law Implemented 120.54(5) FS. History—New 7-2-98.

40E-1.5095 Publication of Notice of Agency Decision or Intended Agency Decision.

In cases where a project is determined to be of heightened public concern, or where there is the likelihood of a request for an administrative hearing, where the proposed activity is potentially harmful to the water resources of the District or contrary to the overall objectives of Chapter 373, F.S., as outlined in Section 373.016, F.S., or if objection(s) to the application has been received, the District shall publish, or require the permit applicant to publish notice of agency decision or intended agency decision in the Florida Administrative Weekly or newspapers of general circulation in the area affected by such decisions as required by Chapter 50, F.S., and shall post notice and send by regular United States mail or electronic mail copies of its notice to applicants and interested groups. Such publication may be used as evidence of constructive and sufficient notice.

Specific Authority 120.54(5), 373.044, 373.113, 668.003, 668.004, 668.50 FS. Law Implemented 120.54(5), 120.569, 120.57, 373.146, 373.413, 668.003, 668.004, 668.50 FS. History—New 7-2-98, Amended 6-12-00, 10-1-06.

40E-1.511 Point of Entry Into Proceedings.

Procedures regarding point of entry into proceedings determining substantial interests and mediation are set forth in the Uniform Rules of Procedure Rule 28-106.111, F.A.C. The following exceptions are applied in combination with the applicable Uniform Rules of Procedure.

(1)(a) "Receipt of written notice of agency decision" as set forth in Rule 28-106.111, F.A.C., means receipt of either written notice through regular United States mail, or

electronic mail, or posting that the District has or intends to take final agency action, or publication of notice that the District has or intends to take final agency action.

(b) If notice is published pursuant to this chapter, publication shall constitute constructive notice to all persons. Until notice is published, the point of entry to request a formal or informal administrative proceeding shall remain open unless actual notice is received.

(2) If the Board takes action which substantially differs from the notice of intended agency decision, the applicant or persons who may be substantially affected shall have an additional point of entry pursuant to Rule 28-106.111, F.A.C., unless otherwise provided by law. The Board action is considered to substantially differ from the notice of intended agency decision when the potential impact on water resources has changed.

(3) Notwithstanding the timeline in Rule 28-106.111, F.A.C., intended agency decisions or agency decisions regarding consolidated applications for Environmental Resource Permits and Use of Sovereign Submerged Lands pursuant to Section 373.427, F.S., shall provide a 14 day point of entry to file petitions for administrative hearing.

Specific Authority 120.54(5), 373.044, 373.113, 668.003, 668.004, 668.50 FS. Law Implemented 120.54(5), 120.569, 120.57, 120.60, 373.146, 373.413, 373.427, 668.003, 668.004, 668.50 FS. History—New 9-3-81, Amended 7-26-87, 5-11-93, 10-3-95, 7-2-98, 6-12-00, 10-1-06.

40E-1.520 Procedures Concerning Formal Proceedings.

Formal proceedings under Section 120.57(1), F.S., shall be conducted pursuant to Part II of Chapter 28-106, F.A.C.

Specific Authority 120.54(5) FS. Law Implemented 120.54(5) FS. History—New 7-2-98.

40E-1.521 Initiation of Formal Proceedings.

Initiation of formal proceedings under Section 120.57(1), F.S., shall comply with the procedures in Rule 28-106.201, F.A.C.

Specific Authority 120.53, 373.044, 373.113 FS. Law Implemented 120.53(1), 120.57, 373.113 FS. History—New 9-3-81, Formerly 16K-1.09(1), 16K-1.112(1)-(3), 16K-1.12, Amended 5-11-93, 7-2-98, 6-12-00.

40E-1.564 Exceptions to Recommended Order.

The procedures for filing exceptions to findings of fact or conclusions of law in a recommended order, and for filing responses thereto, are contained in Rule 28-106.217, F.A.C.

Specific Authority 120.53, 373.044, 373.113 FS. Law Implemented 120.53(1), 120.57, 373.113 FS. History—New 9-3-81, Formerly 16K-1.11(10), Amended 5-11-93, 7-2-98, 6-12-00.

40E-1.570 Procedures Concerning Informal Proceedings.

Informal proceedings for determining substantial interests shall be initiated and conducted pursuant to Part III of Chapter 28-106, F.A.C.

Specific Authority 120.54(5) FS. Law Implemented 120.54(5) FS. History—New 7-2-98.

Part VI Permits**40E-1.601 General.**

All District actions regarding the application for issuance, denial, modification, suspension, and revocation of permits shall be governed by Sections 120.569, 120.57, and 120.60, F.S., and the rules in Chapter 28-107, F.A.C., and this part.

Specific Authority 120.53, 373.044, 373.113 FS. Law Implemented 120.53(1), 120.57, 120.60, 373.085, 373.116, 373.119, 373.175, 373.229, 373.239, 373.243, 373.246, 373.413, 373.416, 373.429, 373.433, 373.436, 373.439 FS. History—New 9-3-81, Amended 5-11-93, 7-2-98.

40E-1.602 Permits Required.

Unless expressly exempt by statute or District rule, permits must be obtained from the District prior to commencement of the following activities:

(1) A water use individual or general permit pursuant to Chapter 40E-2 or 40E-20, F.A.C., must be obtained prior to use or withdrawal of water or dewatering activities;

(2) A water well construction permit pursuant to Chapter 40E-3, F.A.C., must be obtained prior to the construction, repair or abandonment of any well within the District;

(3) A water well contractor's license, pursuant to Chapter 40E-3, F.A.C., must be obtained by contractors engaged in the business of construction, repair, or abandonment of water wells.

(4) An individual or general environmental resource permit pursuant to Chapter 40E-4, 40E-40, or 40E-400, F.A.C., or, an individual or general surface water management or wetland resource permit grandfathered pursuant to Sections 373.414(11)-(16), F.S., must be obtained prior to:

(a) Construction, alteration, operation, maintenance, repair or abandonment of any surface water management system, dam, impoundment, reservoir, appurtenant work or works including dredging or filling as prescribed by District rule,

(b) Establishment and operation of a mitigation bank.

(5) A conceptual environmental resource permit may be obtained for proposed surface water management systems or mitigation banks. However, a conceptual permit does not authorize construction or operation. A conceptual mitigation bank permit can be utilized to estimate the legal and financial requirements for the mitigation bank, information required for evaluation of the mitigation bank permit application, and potential mitigation credits that would be awarded to the specific project proposal.

(6) A proprietary authorization pursuant to Chapters 253 and 258, F.S., is required and shall be reviewed by the District for all activities which require a permit under Chapter 40E-4, 40E-40, or 40E-400, F.A.C., or a permit under subsections 373.414(11)-(16), F.S., and which are located on submerged lands owned by the Board of Trustees of the Internal Improvement Trust Fund pursuant to Section 373.427, F.S., Chapter 18-21, F.A.C., and Rules 18-18.014 and 62-343.075, F.A.C.

(7) An artificial recharge permit pursuant to Chapter 40E-5, F.A.C., must be obtained prior to construction of any project involving artificial recharge or the intentional introduction of water into any underground formation;

(8) A Works or Lands of the District permit pursuant to Chapter 40E-6, F.A.C., must be obtained prior to connecting with, placing structures in or across, discharging into or making use of works of the District and any additional lands or real property interests

owned by the District.

(9) A Use of Works of the District within the Lake Okeechobee Basin General or Individual Permit must be obtained pursuant to Chapter 40E-61, F.A.C., by any owner of a parcel of land within the Lake Okeechobee Basin.

(10) An Occupancy or Use of the C-18 Right of Way general or individual permit pursuant to Chapter 40E-62, F.A.C., must be obtained prior to constructing, planting, maintaining, pruning, mooring boats, and placing other items on, across, under, or upon District lands and works along the C-18 canal right of way.

(11) A Use of Works of the District within the Everglades general, individual or master permit pursuant to Chapter 40E-63, F.A.C., must be obtained by any owner of a parcel of land in the Everglades Agricultural Area.

Specific Authority 373.044, 373.113, 373.4135 FS. Law Implemented 120.53(1), 120.57, 120.60, 373.085, 373.106, Chapter 373 Parts II and IV FS. History—New 9-3-81, Formerly 16K-1.06, Amended 7-26-87, 5-11-93, 10-3-95, 4-1-96.

40E-1.603 Application Procedures for Conceptual Approval, Individual and General Permits.

(1) The following procedures for processing permit applications or notices of intent apply in addition to the requirements of Section 120.60, F.S., and Rule 28-107.002, F.A.C.

(a) Within 30 days of receipt of an application or notice of intent, the District shall review the application to determine whether all information needed to evaluate the application has been submitted. The District shall notify the applicant of the date on which the application is declared complete.

(b) If the District determines that the application is incomplete, the District shall request the information needed to complete the application within 30 days of its receipt. For individual permits and standard general permits, the applicant shall have 90 days from receipt of a timely request for additional information to submit that information to the District.

(c) The District may request information needed to clarify any additional information submitted by the applicant, or to answer new questions raised by or related to the additional information within 30 days of its receipt. The applicant shall have 30 days from receipt of such a request in which to provide the necessary information. If the application is still incomplete after such information is submitted, the District shall notify the applicant within 30 days. The applicant shall have an additional 30 days to complete the application.

(d) Failure of an applicant to provide the timely requested information within these timeframes shall be considered grounds for denial of the application. Denial of an application for lack of completeness is without prejudice to the applicant's right to file a new application on the same subject matter. The District shall grant an extension upon a showing of a good faith effort by the applicant to comply with the timelines set forth herein. Unless an extension of time has been granted by the District, any application which remains incomplete 240 days after the original submittal date of an individual permit application or 90 days after the original submittal date of a notice of intent for general permit, shall be denied without prejudice.

(e) If the applicant submits information, either in response to or independent of a

request by the District, which incorporates or results in a substantial modification in the proposed activity for which the applicant seeks a permit, the application will be considered an amended application. For purposes of this subsection, the term "substantial modification" shall mean a modification reasonably expected to result in water resource or environmental impacts which differ from those expected from the original application and require detailed review. Review timelines of the permit application or notice of intent will be reinitiated under this section.

(2) Upon a determination by the District that the activity requested in the notice of intent for any general permit requires an individual permit, the notice of intent shall be processed as an application for an individual permit, unless the permit applicant withdraws the application. If the application is processed as an individual permit, the permit applicant will be required to submit payment equal to the difference between the applicable fee for the individual permit and the fee previously submitted.

(3)(a) Agency action on individual permits and conceptual approvals shall occur within 90 days of receipt of a complete application, including receipt of all requested information and correction of any error or omission of which the applicant was timely notified.

(b) An authorization to proceed for standard general permits in Chapter 40E-20, F.A.C., shall occur within 60 days of receipt of a complete notice of intent, including receipt of all requested information and correction of any error or omission of which the applicant was timely notified.

(c) Agency action on a standard general permit application in Chapter 40E-40, F.A.C., shall occur within 60 days of receipt of a complete application, including receipt of all requested information and correction of any error or omission of which the applicant was timely notified.

(d) An authorization to proceed for general permits in Chapter 40E-30, F.A.C., shall be issued within 30 days of receipt of a complete notice of intent, including receipt of all requested information and correction of any error or omission of which the applicant was timely notified.

(e) Noticed general permits under Chapter 40E-400, F.A.C., may be utilized by the applicant 30 days after the District receives the notice of intent, unless a notice that the project does not qualify for the noticed general permit is sent by regular United States mail or electronic mail by the District within 30 days, in accordance with Rule 40E-400.211, F.A.C. If notice that the proposed project does not qualify for the noticed general permit is sent by regular United States mail or electronic mail by the District to the applicant, the review process under subsection (1) shall be initiated or the applicant shall be required to apply for the appropriate permit if the requested activity is not covered by the noticed general permit rule.

Specific Authority 120.53(1), 373.044, 373.113, 668.003, 668.004, 668.50 FS. Law Implemented 120.60, 373.107, 373.109, 373.116, 373.229, 373.417, 373.421, 373.422, 668.003, 668.004, 668.50 FS. History—New 9-3-81, Formerly 16K-1.08(1)-(8), Amended 7-1-86, 7-26-87, 11-21-89, 5-11-93, 10-3-95, 4-1-96, 7-2-98, 6-12-00, 10-1-06.

40E-1.604 Bond.

(1) The Board may require the applicant for a permit to furnish a bond made payable to the District and its successors, with a reputable bonding corporation authorized to do

business in this State as surety, conditioned upon full compliance with terms of the permit, including the proper construction, operation, and maintenance of the facility. The amount of the bond shall be determined by the Board.

(2) The Board may require liability insurance in such amount as the Board may determine endorsed in favor of the District or a hold harmless agreement satisfactory to the Board, in lieu of a bond under subsection (1).

(3) The Board may require that the bond or liability insurance be maintained as a condition of the continued validity of the permit.

Specific Authority 373.044, 373.113 FS. Law Implemented 373.085, 373.103, 373.219, 373.413, 373.416 FS. History—New 9-3-81, Formerly 16K-1.061.

40E-1.6058 Publication and Requests for Notification of Permit Applications or Notices of Intent.

(1) Notice of Receipt of Permit Application or Notice of Intent. Persons who wish to be notified in writing or by electronic mail of any permit application or notice of intent which affects a designated geographic area shall notify the District in writing or by electronic mail, and shall specify their area of interest by county. Requests must be renewed every 6 months. The District shall provide notice in writing or by electronic mail of receipt of application or notice of intent to all persons who have filed in the preceding 6 months a written or electronic request for notification of any application or notice of intent affecting the designated geographic area in which the proposed activity is to occur.

(2) Publication of Notice of Receipt of Permit Application or Notice of Intent.

(a) Within 45 days of receipt of an individual permit application, the District shall publish notice thereof in a newspaper having general circulation as defined in Chapter 50, F.S., in the county in which the activity will occur.

(b) Within 14 days of filing notice of intent to use a general permit or application for a standard general permit, persons qualifying for the use thereof are not required to, but may publish notice of such filing in a newspaper of general circulation, as defined in Chapter 50, F.S., in the area affected by the proposed project. Proof of publication shall be submitted to the district within 14 days of publication.

(c) Published Notice of Use for No Notice General Permits. Publication of notice of use of general permits for which no notice is required to be filed with the District may occur if desired by the permittee. The published notice must be published in a newspaper of general circulation, as defined in Chapter 50, F.S., in the area affected by the proposed project within 7 days of commencing work. If published, proof of publication must be submitted to the district within 14 days of publication.

(3) Interested persons shall have the opportunity to inspect a copy of the permit application at the appropriate District Service Center and submit written comments, which shall be considered by the District if received before the District issues proposed agency action concerning the application. Where appropriate, the District shall request that persons submitting comments furnish additional information reasonably necessary to ascertain the nature of the comments.

(4) Persons who wish to be advised of the proposed agency action regarding a particular permit application shall file a written or electronic request for further notice within 14 days of receipt of the notice of application.

(5) The governing board may charge a subscription fee for information requested in accordance with this section to any person who has filed a written or electronic request for notification of any pending applications, pursuant to Rule 40E-1.125, F.A.C.

Specific Authority 373.044, 373.113, 668.003, 668.004, 668.50 FS. Law Implemented 120.53(1), 120.60(3), 668.003, 668.004, 668.50 FS. History—New 10-3-95, Amended 7-2-98, 6-12-00, 10-1-06.

40E-1.6065 Consideration of Intended Agency Decision on Permit Applications.

(1) After the application for a permit is declared by staff to be complete, if a governing board hearing on the permit application is required, the District shall prepare a Staff Review Summary, which shall contain its recommendations regarding the subject application and which shall constitute intended agency decision. A notice of intended agency decision together with the Staff Review Summary shall be furnished to the applicant and any persons requesting the same pursuant to Rule 40E-.6058, F.A.C., as applicable. The notice shall state the District Staff's recommendation that the Governing Board approve, deny, or approve with conditions the permit application and the reasons therefore.

(2) The Governing Board shall consider the application for a conceptual approval, individual environmental resource, individual surface water management, or individual water use permit application at its next available regularly scheduled regulatory meeting following the mailing or electronic mailing of notice of intended agency decision, unless an administrative hearing is requested and granted pursuant to Section 120.569, F.S.

(3) In no case shall agency action be taken later than 90 days after the application for a conceptual approval, individual environmental resource permit, or individual water use permit is declared complete unless waived by the applicant or stayed by the filing of a petition for an administrative hearing. The permit applicant may voluntarily waive the timeline for governing action on the permit application in Section 120.60, F.S., in order to resolve any outstanding issues, including third party objections, regarding the project.

(4) Because the Governing Board may take a final agency action which materially differs from the noticed intended agency action, applicants and other interested persons should be prepared to defend their position regarding the permit application when it is considered by the Governing Board. If the Governing Board takes final agency action which materially differs from the intended agency decision, the District shall mail by regular United States mail or electronic mail a notice of the final agency action to all persons who were notified of the intended agency decision.

Specific Authority 120.53(1), 373.044, 373.113, 668.003, 668.004, 668.50 FS. Law Implemented 120.60, 373.107, 373.109, 373.116, 668.003, 668.004, 668.50 FS. History—New 7-2-98, Amended 6-12-00, 10-1-06.

40E-1.607 Permit Application Processing Fees.

A permit application processing fee is required and shall be paid to the District when certain applications are filed pursuant to District rules. An application shall not be considered complete until the appropriate application fee is submitted. These fees are assessed in order to defray the cost of evaluating, processing, monitoring, and inspecting for compliance required in connection with consideration of such

applications. Fees are non-refundable in whole or part unless the activity for which an application is filed is determined by the District to be exempt or the fee submitted is determined by the District to be incorrect. Failure of any person to pay the applicable fees established herein will result in denial of an application. Activities that do not require a permit and are exempt pursuant to Rule 40E-2.051 or 40E-3.051, F.A.C., are not subject to the following permit application fees. The District's permit application processing fees are as follows:

(1) Water Use Permit Application processing fees are in the following table:

TABLE 40E-1.607(1)

PERMIT APPLICATION PROCESSING FEES FOR WATER USE PERMIT APPLICATIONS REVIEWED PURSUANT TO CHAPTERS 40E-2 AND 40E-20, F.A.C.
 Fee amounts shall apply to applications for new permits, permit modifications, and permit renewals, except as noted.

Category	Amount
Individual Public Water Supply with a duration less than 20 years Maximum monthly allocation	
Greater than 15 million gallons per month (mgm) through 30 mgm	\$2,700
Greater than 30 mgm through 300 mgm	\$5,500
Greater than 300 mgm	\$7,000
Individual Public Water Supply with a duration of 20 years Maximum monthly allocation	
Greater than 15 million gallons per month (mgm) through 30 mgm	\$4,200
Greater than 30 mgm through 300 mgm	\$8,500
Greater than 300 mgm	\$11,500
Individual Irrigation with a duration less than 20 years	\$1,000
Individual Irrigation with a duration of 20 years Maximum monthly allocation	
Greater than 15 mgm through 30 mgm	\$1,600
Greater than 30 mgm through 300 mgm	\$3,400
Greater than 300 mgm	\$5,600
Individual Mining (Dewatering) Maximum monthly allocation	
Greater than 15 mgm through 30 mgm	\$1,800
Greater than 30 mgm through 300 mgm	\$3,250
Greater than 300 mgm	\$4,000
Individual Industrial with a duration less than 20 years Maximum monthly allocation	
Greater than 15 mgm through 30 mgm	\$1,400
Greater than 30 mgm through 300 mgm	\$2,750
Greater than 300 mgm	\$3,500
Individual Industrial with a duration of 20 years Maximum monthly allocation	
Greater than 15 mgm through 30 mgm	\$2,000
Greater than 30 mgm through 300 mgm	\$3,650
Greater than 300 mgm	\$5,600
Individual Diversion and Impoundment with a duration less than 20 years Maximum monthly allocation	
Greater than 15 mgm through 30 mgm	\$1,400
Greater than 30 mgm through 300 mgm	\$2,750
Greater than 300 mgm	\$3,500
Individual Diversion and Impoundment with a duration of 20 years	

Category	Amount
Maximum monthly allocation	
Greater than 15 mgm through 30 mgm	\$2,000
Greater than 30 mgm through 300 mgm	\$3,950
Greater than 300 mgm	\$6,200
Independent Secondary User of a Diversion and Impoundment with a duration of 20 years	
Maximum monthly allocation	
Greater than 15 mgm through 30 mgm	\$1,000
Greater than 30 mgm through 300 mgm	\$2,000
Greater than 300 mgm	\$3,200
General Standard Water Use Permit	
Maximum monthly allocation	
Less than 3 million gallons per month (mgm) (Minor)	\$350
Greater than 3 mgm through 15 mgm (Major)	\$1,000
Short-term Dewatering	\$500
Aquifer Storage and Recovery:	
(cost added to the applicable use type listed above)	\$1,000
Permit Transfer to Another Entity Pursuant to Rules 40E-1.611 and 40E-2.351, F.A.C.	\$300
Letter Modification to Individual Permit	no fee
Letter Modification to General Permit	no fee

(2) Water Well Construction Permit Application processing fees are in the following table:

TABLE 40E-1.607(2)
PERMIT APPLICATION PROCESSING FEES FOR
WATER WELL CONSTRUCTION PERMIT APPLICATIONS
REVIEWED PURSUANT TO CHAPTER 40E-3, F.A.C.

Category	Amount
Water Well Construction	\$100
Water Well Abandonment	no fee

(3)(a) Environmental Resource Permit Application processing fees are in the following table:

TABLE 40E-1.607(3)(a)
PERMIT APPLICATION PROCESSING FEES FOR
ENVIRONMENTAL RESOURCE PERMIT APPLICATIONS
REVIEWED PURSUANT TO CHAPTERS 40E-4, 40E-40, AND 40E-400, F.A.C.

Fee amounts shall apply to applications for conceptual and construction, or conceptual, or construction, except as noted.

<i>Category</i>	<i>Amount</i>
New Individual Permit	
Project area less than 100 acres	
Agriculture	\$4,029
All others, including Mitigation Banks	\$7,500
Project area 100 acres to less than 640 acres	
Agriculture	\$5,284
All others, including Mitigation Banks	\$13,125
Project area 640 acres or more	
Agriculture	\$6,605
All others, including Mitigation Banks	\$25,000
Individual Permit Modification	
Project area less than 100 acres	
Agriculture	\$2,708
All others, including Mitigation Banks	\$5,000
Project area 100 acres to less than 640 acres	
Agriculture	\$3,303
All others, including Mitigation Banks	\$10,000
Project area 640 acres or more	
Agriculture	\$4,624
All others, including Mitigation Banks	\$15,000
New Standard General Permit (excluding incidental site activities pursuant to Rule 40E-40.042, F.A.C.)	
Agriculture	\$859
All others	\$3,500
Standard General Permit Modification including Application for phase construction under a Conceptual Approval Application for individual permit modification for a system which does not exceed the criteria in Rule 40E-40.041, F.A.C. and which is not required to obtain an individual environmental resource permit for the reasons in subsection 40E-40.011(2), F.A.C.	
Agriculture	\$661
All others	\$1,500
Noticed General Permit pursuant to Chapter 40E-400, F.A.C., including aquaculture	\$250
Single family residential homesite consisting of 10 acres or less in total land area	\$100

Category	Amount
Standard General Permit for incidental site activities pursuant to Rule 40E-40.042, F.A.C. (Early Work)	\$1,000
Transfer of permit (including Mitigation Bank) to another entity pursuant to Rules 40E-1.6107 and 40E-4.351, F.A.C.	\$675
Variance associated with an environmental resource permit application From paragraph 40E-4.301(1)(e), F.A.C.	\$750
From other permitting standards, permit conditions, or water quality standards	\$1,500
New Individual Operation Permit	\$5,250
Letter Modification	\$250
New Individual or Standard General Permits, or Individual or Standard General Permit Modifications, solely for environmental restoration or enhancement activities provided such activities are not associated with a mitigation bank and are not being implemented as mitigation for other activities that require a permit under Part IV of Chapter 373, F.S. Such activities may include incidental passive recreation and facilities to provide public access to the environmental restoration or enhancement site	\$250
No Notice General Permits pursuant to Rules 40E-400.315 and 40E-400.316, F.A.C.	\$100
Verification that an activity is exempt from regulation under Part IV, Chapter 373 or 403.813, F.S.	\$100
Informal wetland boundary determinations under Part IV of Chapter 373, F.S., for property less than or equal to 1 acre	\$500
Permit Extensions	\$500

1. When used in Table paragraph 40E-1.607(3)(a), F.A.C., "Agriculture" shall be defined as set forth in Section 570.02, F.S.

2. For permit applications which involve a combination of fee categories, the highest fee that applies shall be charged.

3. Any individual permit application submitted concurrently with a conceptual approval application – where the individual permit application represents a phase of the conceptual approval application – is exempt from the above environmental resource permit fees.

4. For projects grandfathered pursuant to Section 373.414, F.S., the letter modification, conceptual approval, individual or general surface water management permit application fee shall be the same as listed in Table paragraph 40E-1.607(3)(a), F.A.C.

5. The District shall use the Consumer Price Index (CPI) adopted by the United States Department of Labor since the most recently revised fee increase for revising fees under Part IV of Chapter 373, F.S., pursuant to Section 373.109, F.S. The inflation index used is the price paid by all urban consumers for a market basket of consumer goods and services; specifically, the CPI figures for the "CPI-U, U.S. City Average. All Items" established for the previous five years by the Bureau of Labor Statistics (BLS) (www.bls.gov/cpi), computed as provided in the BLS publication "Handbook of Methods", Chapter 17 (www.bls.gov/opub/hom/pdf/homch17.pdf).

(b) Permit application processing fees for projects grandfathered pursuant to Section 373.414, F.S., wetland resource (dredge and fill) are in the following table:

TABLE 40E-1.607(3)(b)
PERMIT APPLICATION PROCESSING FEES FOR
PROJECTS GRANDFATHERED PURSUANT TO SECTION 373.414, F.S.
WETLAND RESOURCE (DREDGE AND FILL) PERMIT APPLICATIONS
REVIEWED PURSUANT TO CHAPTERS 40E-4, 40E-40, AND 40E-400, F.A.C.

Category	Amount
Construction projects up to and including 5 years Standard form projects including dredge and fill activities that affect 10 or more acres of jurisdictional area, pursuant to subsection 62-312.070(2), F.A.C. (1993)	\$7,500
Short form construction projects including dredging and filling activities that affect less than 10 acres of jurisdictional area, pursuant to subsection 62-312.070(2), F.A.C. (1993)	\$750
Variance associated with a wetland resource permit application From the prohibition of subsection 62-312.080(7), F.A.C.	\$132
From other permitting standards, permit conditions, or water quality standards	\$661
General Permits	\$132
Minor modifications of permits that do not require substantial technical evaluation by the District, in conformance with subsections 62-4.050(6) and (7), F.A.C. (1993), do not require a new site inspection by the District, and will not lead to substantially different environmental impacts or will lessen the impacts of the original permit:	\$66
Transfer of permits or time extensions Minor technical changes Existing permit fee is less than \$300, except for modification to permits issued pursuant to Section 403.816, F.S.	\$66
Existing permit fee is equal to or more than 300	\$330

1. For the purposes of determining the fee for wetland resource management permits, the term of duration for the permit shall be reduced by the period of time (in yearly increments) during which no dredging or filling activity occurs or no reclamation, restoration, or mitigation occurs and only minor monitoring and maintenance activities are required. The fee for the full term shall be submitted with the application. After the District determines the period of time that the term of the permit can be reduced, the excess fee shall be returned.

2. For permit applications which involve a combination of the project fee categories listed above, the highest fee that applies to the appropriate standard form or short form project, pursuant to Rule 62-312.070, F.A.C., shall be charged.

3. A single additional fee of \$500 shall be required for projects in which monitoring and evaluation to determine the success of the mitigation will be required beyond the period of time to which the permit fee will ordinarily apply. If it is determined at the time of the permit application that monitoring and evaluation to determine the success of the mitigation will be required beyond the time period to which the permit fee will ordinarily apply, then this single additional fee shall be due when it is determined that this monitoring and evaluation is required.

(4) Application for proprietary authorization under Chapters 253 and 258, F.S., except consent of use authorizations, processing fees are in the following table:

TABLE 40E-1.607(4)
**PERMIT APPLICATION PROCESSING FEES FOR PROPRIETARY
 AUTHORIZATIONS UNDER CHAPTERS 253 AND 258, F.S.,
 EXCEPT CONSENT OF USE AUTHORIZATIONS**

See Chapter 18-21, F.A.C. for application fees for proprietary authorizations, specifically as follows:

18-21.008(1)(a)8.	Applications for Lease (eff. 8-10-05)
18-21.0081(1)(k)	Grandfather Structure Applications (eff. 8-10-05)
18-21.009(1)(g)	Applications for Public Easement (eff. 8-10-05)
18-21.010(1)(i)	Applications for Private Easement (eff. 8-10-05)
18-21.013(1)(l)	Applications to Purchase Lands Riparian to Uplands (eff. 3-27-82)
18-21.019(7)	Applications for Disclaimers, Quitclaim Deeds or Certificates to Clear Title to Filled Sovereignty Lands and for Disclaimers for Lands Lost Due to Avulsion or to Reclaim Lands Lost due to Artificial Erosion or Artificial Erosion and Avulsion (eff. 4-13-98)

(5) Petition for Formal Determination of Wetlands and Other Surface Waters processing fees are in the following table:

TABLE 40E-1.607(5)
**DETERMINATION PETITION PROCESSING FEES FOR
 FORMAL DETERMINATION OF WETLANDS AND OTHER SURFACE WATERS**

For the validation of informal, non-binding wetland determinations pursuant to Section 373.421(6), F.S., the fees shall be the same as formal determinations listed in Table subsection 40E-1.607(5), F.A.C.

Category	Amount
Property less than or equal to 10 acre	\$500
Property greater than 10 acre but less than or equal to 100 acres	\$1,500
Property greater than 100 acres but less than or equal to 320 acres	\$3,500
Property greater than 320 acres	\$5,000
Renewal	\$350

(6) Permit Processing Fee Waiver for Certain Local Governments.

Notwithstanding the provisions set forth above in this rule, the District shall waive permit processing fees for permit applications submitted by the governing body of a county with a population of less than 50,000, a municipality with a population of less than 25,000, a county or municipality not included within a metropolitan statistical area, or a third party under contract with such a county or municipality, provided:

- (a) The project for which the fee waiver is sought serves a public purpose; and
- (b) The governing body submits Form No. 889 certifying that the fee reduction is necessary due to an environmental need for a particular project or activity; or
- (c) The governing body submits Certification of Waiver of Permit Application Processing Fee, Form No. 889, certifying that the permit processing fee is a fiscal hardship due to one of the following factors:

1. Per capita taxable value is less than the statewide average for the current fiscal year;
2. Percentage of assessed property value that is exempt from ad valorem taxation is higher than the statewide average for the current fiscal year;
3. Any condition specified in Section 218.503, F.S., that determines a state of financial emergency;
4. Ad valorem operating millage rate for the current year is greater than 8 mills; or
5. A financial condition is documented in annual statements at the end of the current fiscal year which indicates an inability to pay the permit processing fee during that fiscal year.

(7) PERMIT APPLICATION PROCESSING FEES FOR MODIFICATION OR TRANSFER OF ENVIRONMENTAL RESOURCE, SURFACE WATER MANAGEMENT OR WORKS OF THE DISTRICT PERMITS FOR PROPERTIES ACQUIRED BY THE DISTRICT PURSUANT TO THE FLORIDA FOREVER WORK PLAN OR SAVE OUR RIVERS LAND ACQUISITION AND MANAGEMENT PLAN:

- | | |
|---|-----|
| (a) Modification of existing permits to reflect property ownership changes where no new works or modifications to an existing surface water management system is requested. | \$0 |
| (b) Permit transfer pursuant to Rules 40E-1.6107 and 40E-4.351, F.A.C. | \$0 |

Rulemaking Authority 373.044, 373.109, 373.113, 373.421(2), 373.421(6)(b) FS. Law Implemented 218.075, 373.109, 373.421(2), 373.421(6)(b), 403.201 FS. History—New 1-8-89, Amended 1-2-91, 11-15-92, 6-1-93, 1-23-94, 10-3-95, 4-1-96, 11-8-99, 5-24-00, 6-26-02, 7-11-02, 8-10-03, 8-14-03, 11-18-07, 11-1-09.

40E-1.608 Denial of Permits.

(1) Procedures concerning the District's denial of permit applications are contained in the Uniform Rules of Procedure, Rule 28-107.003, F.A.C.

(2) A recommendation for denial is based exclusively upon the specific proposal submitted by the applicant. Denial of permit is without prejudice to the applicant's right to file a modified proposal through a new application.

Specific Authority 373.044, 373.113 FS. Law Implemented 120.53(1), 120.57, 120.60 FS. History—New 9-3-81, Amended 10-3-95, 7-2-98, 6-12-00.

40E-1.609 Suspension, Revocation and Modification of Permits.

(1) Procedures concerning the District's suspension, revocation and modification of a permit are contained in the Uniform Rules of Procedure, Rule 28-107.004, F.A.C.

(2) The District may temporarily suspend or revoke a permit, in whole or in part, when it determines that the permittee or an agent of the permittee has:

- (a) Submitted false or inaccurate information on an application or operational report;
- (b) Violated Chapter 373, F.S., or portions of Chapter 403, F.S., for which authority has been delegated to the District, and the rules promulgated thereunder, or any other provision of Florida law related to the operations or regulations of the District;
- (c) Failed to comply with an Administrative Order issued pursuant to Section 373.119, F.S.;
- (d) Violated a condition of the permit;
- (e) Failed to permit inspection of the subject property.

(3) The District may revoke a permit or modify its terms and conditions when it determines that such action is necessary to protect the public health, safety and welfare, prevent a public or private nuisance, or when the continued utilization of the permit becomes inconsistent with the objectives of the District. In such instances, due consideration shall be given to the extent to which the permittee has detrimentally relied upon the permit.

(4) The provisions of subsections (1) and (2) shall not preclude the District from exercising other enforcement remedies pursuant to Chapters 120, 373 and 403, F.S., when it determines such action is necessary and appropriate either in addition to or instead of suspension or revocation described above.

Specific Authority 120.53, 373.044, 373.113, 373.119, 373.129, 373.136 FS. Law Implemented 120.53(1)(b), (c), 120.60(2), 373.119, 373.239, 373.243, 373.429 FS. History—New 9-3-81, Amended 5-11-93, 10-3-95, 7-2-98, 6-12-00.

40E-1.610 Permit Renewal.

(1) Holders of renewable permits shall make timely application as required by Rule 40E-1.603, F.A.C., for renewal so as to avoid expiration during the renewal process. When timely application is made, the existing permit shall not expire until final agency action, or if the permit is denied or the terms limited, until the last day for seeking review of the District order or a later date fixed by order of the reviewing court.

(2) Application for a permit renewal is timely only if actually filed at the District prior to expiration of the existing permit. Mailing the application does not constitute filing.

Specific Authority 120.53(1), 373.044, 373.113 FS. Law Implemented 120.60, 373.219, 373.239, 373.323, 373.413 FS. History—New 5-11-93, Amended 6-12-00.

40E-1.6105 Notification of Transfer of Interest in Real Property.

Within 30 days of any transfer of interest or control of the real property at which any permitted facility, system, consumptive use, or activity is located, the permittee must notify the District, in writing or electronically at the District's e-Permitting website, of the transfer giving the name and address of the new owner or person in control and providing a copy of the instrument effectuating the transfer. Notification of a transfer shall not constitute a permit transfer pursuant to Rule 40E-1.6107, F.A.C.

Specific Authority 373.044, 373.113, 668.003, 668.004, 668.50 FS. Law Implemented 373.083, 373.171, 373.309, 373.416, 373.426, 373.429, 373.436, 668.003, 668.004, 668.50 FS. History—New 5-11-93, Amended 10-1-06.

40E-1.6107 Transfer of Environmental Resource, Surface Water Management, or Water Use Permit.

(1) To transfer an environmental resource, surface water management, or water use permit, the permittee, in addition to satisfying the applicable provisions in Rules 40E-2.351 and 40E-4.351, F.A.C., must provide information required in Rule 40E-1.6105, F.A.C., and file a statement from the proposed transferee in writing or at the District's e-Permitting website that it has reviewed the District permit and project design and will be bound by all terms and conditions of the permit, including all compliance requirements, for the duration of the permit.

(2) The District shall approve the transfer of a permit unless it determines that the proposed transferee cannot provide reasonable assurances that conditions of the permit will be met. The determination shall be limited solely to the ability of the new permittee to comply with the conditions of the existing permit, and it shall not concern the adequacy of those permit conditions.

(3) The District shall approve the transfer of the permit if the requirements in subsections (1) and (2) are met. If the District proposes to deny the transfer, it shall provide both the permittee and the proposed transferee a written objection to such transfer together with the notice of right to request a Chapter 120, F.S., proceeding on such determination.

(4) Until transfer is approved by the District, the permittee shall be liable for compliance with the permit. The permittee transferring the permit shall remain liable for any corrective actions that are required as a result of any violations of the permit which occurred prior to the transfer of the permit.

Specific Authority 120.53(1), 373.044, 373.113, 668.003, 668.004, 668.50 FS. Law Implemented 373.083, 373.171, 373.309, 373.416, 373.426, 373.429, 373.436, 668.003, 668.004, 668.50 FS. History—New 5-11-93, Amended 10-3-95, 10-1-06.

40E-1.611 Emergency Action.

The following applies to emergency actions, in addition to the procedures in Uniform Rules of Procedure Rule 28-107.005, F.A.C.

(1) An emergency exists when immediate action is necessary to protect public health, safety or welfare; the health of animals, fish or aquatic life; the works of the District; a public water supply, or recreational, commercial, industrial, agricultural or other reasonable uses of land and water resources.

(2) The Executive Director may employ the resources of the District to take whatever remedial action necessary to alleviate the emergency condition without the issuance of an emergency order, or in the event an emergency order has been issued, after the expiration of the requisite time for compliance with that order.

Specific Authority 120.54(5), 373.439 FS. Law Implemented 120.54(5), 373.439 FS. History—New 9-3-81, Amended 7-2-98.

40E-1.6115 Emergency Authorization.

(1) Permission to initiate activities regulated under Chapter 373, F.S., prior to the issuance of a permit or authorization of use may be applied for, in writing, when emergency conditions justify. However, no such permission shall be granted unless the proposed use is already under consideration for a permit under District rules. Mere carelessness or lack of planning on the part of the applicant shall not be sufficient grounds to warrant the granting of an emergency authorization.

(2) The Executive Director may grant an emergency authorization pursuant to Section 373.119(2), F.S. The emergency authorization shall be presented to the Governing Board for concurrence at its next regularly scheduled meeting. Failure to receive the Governing Board's concurrence shall automatically invalidate the emergency authorization.

Specific Authority 120.54(5), 373.439 FS. Law Implemented 120.54(5), 373.439 FS. History—New 7-2-98, Amended 6-12-00.

40E-1.615 Coordinated Agency Review Procedures for the Florida Keys Area of Critical State Concern.

(1) This rule applies to applications for environmental resource, surface water management, and water use permits for projects located in the Florida Keys Area of Critical State Concern when the applicant has elected coordinated agency review under Section 380.051, F.S.

(2) The following coordinated agency review procedures apply to projects which are eligible for exemptions from District environmental resource and water use permitting requirements:

(a) No permit and no coordinated agency review participation by the District is required for the water uses exempted by Rule 40E-2.051 (Exemptions), F.A.C.

(b) No permit and no coordinated agency review participation by the District is required for surface water management activities which are exempted by Rule 40E-4.051 (Exemptions), F.A.C.

(3) The following coordinated agency review procedures apply to projects which require permits pursuant to Chapters 40E-2 or 40E-20 (Consumptive Use) and 40E-4 or 40E-40, (Environmental Resource), F.A.C.:

(a) The Coordinated Review Application shall consist of the application information required by Rules 40E-1.603 or 40E-1.606, F.A.C., and Rules 40E-2.101 (Content of Application) or 40E-4.101 (Content of Application), F.A.C.

(b) The District's Coordinated Review process begins when the District receives the Coordinated Review Application from the Permit Coordinator as required by subsection 9J-19.006(3) (Initiation of Coordinated Review), F.A.C.

(c) The District's Coordinated Review process follows the permit review procedures set forth in Rule 40E-1.603, F.A.C. (Application Procedures for Conceptual Approval, Individual and Standard General Environmental Resource Permits, Individual and General Surface Water Management Permits, and Individual Water Use Permits).

(d) If the applicant waives the time limits required by Chapter 120 and Section 380.051, F.S., as set forth in Rule 9J-19.007, F.A.C., the District shall delay initiation of substantive review until notice is received by electronic mail at the District's e-Permitting website or in writing from the Permit Coordinator indicating that substantive review should begin, as provided in subsection 9J-19.007(3) (Coordination of Time for Sufficiency Review), F.A.C. If the applicant does not waive the time limits, the District shall begin substantive review when the Coordinated Review Application is complete.

(e) The Certification of the Coordinated Review Application required by Section 380.051(2)(a), F.S., and subsections 9J-19.002(3) (Purpose) and 9J-19.009(1) and (2) (Completion of Substantive Review), F.A.C., shall occur within 60 days after the District begins substantive review, and shall consist of the notice of proposed agency action together with the staff report on the individual permit pursuant to subsection 40E-1.603(6) (Procedures for Application for Individual Environmental Resource and Water Use Permits), F.A.C., which may recommend to the Governing Board approval, approval with conditions or denial of the permit.

(f) Certification concludes the coordinated agency review process. However, the applicant may complete the permit process as set forth in subsections 40E-1.603(6)-(11), F.A.C., which result in the Governing Board's approval, approval with conditions or denial of the permit.

Specific Authority 373.044, 373.113, 380.051, 668.003, 668.004, 668.50 FS. Law Implemented 380.051, 668.003, 668.004, 668.50 FS. History– New 9-22-87, Amended 10-3-95, 10-1-06.

40E-1.659 Forms and Instructions.

The following forms and instructions are hereby incorporated by reference in this chapter and may be obtained without cost by contacting the Records Management Specialist, South Florida Water Management District, 3301 Gun Club Road, West Palm Beach, FL 33406, (800)432-8045, ext. 6436, or (561)682-6436 or at any of the District's Service Centers. Locations and contacts for the District's Service Centers may be obtained from the Records Management Specialist at the address and phone number provided herein or on the District's website at <http://www.sfwmd.gov>. These forms may also be obtained from the District's website at <http://www.sfwmd.gov>, "What We Do", then "Permitting/Regulation". On the "Permitting/Regulation" page, click on "Environmental Resource Permits" then "Forms":

Form No.	Date	Title
0050A	7-89	Application to the South Florida Water Management District for a Permit for Utilization of District Works or Modification of Existing Permit Works of the District No.
0108	3-91	Application for Release of Mineral, Canal, and Road Reservations Reserved Under Chapters 6456, 6957, 7305, 9131, 14717 and 20658, Laws of Florida
0113	8-95	Surface Water Management Permit No.
0115	8-95	Surface Water Management Permit Modification No.
0119	8-95	Wetland Resource Permit No.
0122	4-93	Application to the South Florida Water Management District for Authority to Utilize Works or Land of the District
0123	4-95	Well Construction Permit Application
0124	11-90	Well Completion Report
0145	8-95	Environmental Resource Permit No.
0157	8-95	Environmental Resource Permit Modification No.
0188-QMQ	8-03	Quarterly Report of Withdrawals
0188-MDQ	8-03	Monthly Report of Daily Withdrawals
0188-QASR	8-03	Quarterly Report of Injections and Withdrawals for Aquifer Storage and Recovery (ASR) Wells
0188-QMON	8-03	Quarterly Report of Monitoring Requirements
0188-QMQF	8-03	Quarterly Report of Withdrawals from Wells and Surface Water Pumps
0188-QCROP	8-03	Report of Planting and Harvest of Seasonal Crops
0188-QBWDR	8-03	Quarterly Report of Bulk Water Delivered and Received
0195	6-91	Public Water Supply Well Information and Classification
0196	10-89	Water Well Inspection Scheduling Card
0299	1-90	Water Use Permit No.
0444	8-95	Application for a Standard General Permit for Incidental Site Activities

Form No.	Date	Title
0445	8-03	Mining/Dewatering Permit Application (RC-1A, RC-1W, RC-1G)
0483	9-04	Request for Environmental Resource, Surface Water Management, Water Use or Wetland Resource Permit Transfer
0645-W01	8-03	Water Use Permit Application (RC-1A, RC-1W, RC-1G)
0645-G60	8-03	Table A Descriptions of Wells
0645-G61-1	8-03	Table B Description of Surface Water Pumps
0645-G61-2	8-03	Table C Description of Culverts
0645-G65	8-03	Table D Crop Information
0645-G74	8-03	Table E Water Received From or Distributed to Other Entities
0645-G69	8-03	Table F Past Water Use & Table G Projected Water Use
0645-G70	8-03	Table H Projected Water Use (For Per Capita Greater than 200 GPD)
0645-G71	8-03	Table I Water Treatment Method and Losses
0645-G72	8-03	Table J Aquifer Storage and Recovery
0645-G73	8-03	Table K Water Supply System Interconnections
0779	5-92	Guidance for Preparing an Application for a "Works of the District" Permit in the Everglades/ Application for a Works of the District Permit
0830	4-94	Special Use Application and License
0881A	9-03	Environmental Resource/Surface Water Management Permit Construction Completion Certification
0881B	9-03	Environmental Resource/Surface Water Management Permit Construction Completion Certification-For Projects Permitted Prior to October 3, 1995
0889	9-04	Certification of Waiver of Permit Application Processing Fee
0920	9-04	Request for Conversion of District Environmental Resource/Surface Water Management Permit from Construction Phase to Operation Phase and Transfer of Permit to the Operating Entity
0941	8-95	Environmental Resource Standard/Noticed General Permit No.
0942	8-95	Surface Water Management General Permit No.
0960	9-04	Environmental Resource/Surface Water Management Permit Construction Commencement Notice
0961	9-04	Environmental Resource/Surface Water Management Permit Annual Status Report for Surface Water Management System Construction
0970	8-07	Applicant Transmittal Form for Requested Additional Information
0971	8-07	Joint Application for Environmental Resource Permit/Authorization to Use State Owned Submerged Lands/Federal Dredge and Fill Permit
0972	8-95	Petition for a Formal Wetland and Surface Water Determination
0973	8-95	Above Ground Impoundment Inspection/Certification Report
0974	8-95	Notice of Intent to Construct a Minor Silvicultural System
0980	8-95	Notice of Intent to Use a Noticed General Environmental Resource Permit
1019	9-04	Mitigation Bank Performance Bond to Demonstrate Construction/Implementation Financial Assurance
1020	9-04	Mitigation Bank Irrevocable Letter of Credit to Demonstrate Construction/Implementation Financial Assurance
1021	9-04	Mitigation Bank Standby Trust Fund Agreement to Demonstrate Construction/Implementation Financial Assurance

Form No.	Date	Title
1022	9-04	Mitigation Bank Trust Fund Agreement to Demonstrate Construction/Implementation Financial Assurance
1023	9-04	Mitigation Bank Trust Fund Agreement to Demonstrate Perpetual Management Financial Assurance
1024	9-04	Mitigation Bank Standby Trust Fund Agreement to Demonstrate Perpetual Management Financial Assurance
1105	6-02	Performance Bond to Demonstrate Financial Assurance
1106	6-02	Irrevocable Letter of Credit to Demonstrate Financial Assurance
1109	8-03	Water Use General Permit
1189	2-06	Notice of Environmental Resource or Surface Water Management Permit
1190	1-07	Deed of Conservation Easement (Standard)
1191	1-07	Deed of Conservation Easement (Standard Passive Recreational)
1192	1-07	Deed of Conservation Easement (Standard Riparian)
1194	1-07	Deed of Conservation Easement (Third Party Standard)
1195	1-07	Deed of Conservation Easement (Third Party Passive Recreational)
1196	1-07	Deed of Conservation Easement (Third Party Riparian)
1197	1-07	Restrictive Covenant (Standard)

Specific Authority 120.53, 218.075, 373.044, 373.113, 373.4136, 373.416, 695.03, 704.06 FS. Law Implemented 120.53, 218.075, 373.113, 373.4135, 373.4136, 373.416, 704.06 FS. History—New 9-3-81, Amended 12-1-82, 3-9-83, Formerly 16K-1.90, Amended 7-26-87, 11-21-89, 1-4-93, Formerly 40E-1.901, Amended 5-11-93, 4-20-94, 10-3-95, 6-26-02, 8-14-02, 8-31-03, 9-16-03, 9-20-04, 2-12-06, 1-23-07, 8-7-07.

40E-1.702 Environmental Resource, Surface Water Management Permit and Consumptive Use Enforcement Guidelines.

The District's Environmental Resource, Consumptive Use and Surface Water Management Permit enforcement program is implemented through guidelines concerning Resource Protection, Dispute Resolution and Penalty Assessments.

(1) The Resource Protection guideline provides that:

(a) Adverse impacts to water resources shall be recovered by requiring complete restoration; or

(b) In those cases where restoration of the adverse impact is not environmentally feasible, the District shall require mitigation to offset such impacts.

(2) The Dispute Resolution guideline provides that:

(a) If the violation cannot be resolved in a negotiated, pro-active manner, it is the District's policy to seek full compliance with District permits and rules through appropriate legal action; and

(b) Violations can most effectively be prevented through educating the public about District permitting practices and criteria.

(3) The Penalty Assessment guideline provides that:

(a) The District shall provide for prompt resolution of enforcement matters in a manner that best protects the public interest and water resources; and

(b) The District shall ensure that violators do not gain an economic advantage over competitors by circumventing District permitting requirements. Enforcement action shall

be designed to remove any economic advantage resulting from the failure to comply with District permits and rules.

Specific Authority 120.53(1), 373.044, 373.113 FS. Law Implemented 120.62, 120.69, 373.083(2), 373.119, 373.129, 373.136, 373.430, 373.433, 373.603 FS. History—New 10-3-95, Amended 7-2-98.

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(a) If the violation cannot be resolved in a negotiated, pro-active manner, it is the District's policy to seek full compliance with District permits and rules through appropriate legal action; and

(b) Violations can most effectively be prevented through educating the public about District permitting practices and criteria.

(3) The Penalty Assessment guideline provides that:

(a) The District shall provide for prompt resolution of enforcement matters in a manner that best protects the public interest and water resources; and

(b) The District shall ensure that violators do not gain an economic advantage over competitors by circumventing District permitting requirements. Enforcement action shall be designed to remove any economic advantage resulting from the failure to comply with District permits and rules.

Specific Authority 120.53(1), 373.044, 373.113 FS. Law Implemented 120.62, 120.69, 373.083(2), 373.119, 373.129, 373.136, 373.430, 373.433, 373.603 FS. History—New 10-3-95, Amended 7-2-98.

40E-1.711 Orders of Corrective Action and Consent Agreements.

(1) Orders of Corrective Action.

(a) An order of corrective action may accompany and be served with an administrative complaint upon an alleged violator pursuant to Rule 40E-1.705, F.A.C. An order of corrective action shall include a description of remedial action, with implementation timeframes, and shall, if applicable, set forth any damages, costs of investigation, or other demands that the District is authorized to recover pursuant to Chapter 373 or Chapter 403, F.S. Unless a responsive pleading and request for a Section 120.57, F.S., administrative hearing is filed within fourteen (14) days after service of the order of corrective action, the order for corrective action shall become final and effective, and shall constitute a final adjudication of the matters alleged, subject only to judicial review under Chapters 120 or 373, F.S.

(b) Orders of corrective action, which constitute final agency action, shall be enforceable pursuant to the enforcement provisions in Chapters 373 and 403, F.S.

(2) Consent Agreement.

(a) A consent agreement is final agency action wherein all parties and the District, by negotiation, have arrived at a resolution of alleged violations of law for the purpose of achieving full and expeditious compliance with Chapters 373 and 403, F.S., and District rules promulgated thereunder. A consent agreement, executed by all parties to an enforcement action, shall have the same force and effect as a final order entered by the District after a formal Section 120.57, F.S., administrative hearing, and shall be enforced in like manner.

(b) The resolution of an enforcement action which requires only the payment of civil penalties and costs but no corrective action shall be memorialized by use of a letter agreement. Any other remedial action required, such as mitigation, restoration, or procurement of permits shall be implemented by use of a consent agreement.

(c) Upon execution by the Chair of the Governing Board, or a duly authorized designee, and filing by the District Clerk, a consent agreement shall constitute agency action subject to the provisions of Rule 40E-1.511, F.A.C.

(3) A non-exempt system which is constructed, operated, altered, maintained, removed or abandoned without a permit shall be restored to its pre-violation condition, unless a permit application for such activity is approved by the District.

Specific Authority 120.53, 373.044, 373.113 FS. Law Implemented 373.119, 373.129, 373.136, 373.430, 373.603 FS. History—New 5-11-93, Formerly 40E-1.614, Amended 10-3-95.

40E-1.715 Civil Penalty Calculation.

(1) Consistency and equitable treatment are essential elements of the District's enforcement guidelines. Therefore, the District has developed a civil penalty matrix (CPM) for use in calculating appropriate civil penalties in enforcement actions. The CPM is incorporated by reference into this chapter and Chapters 40E-2, 40E-3, 40E-4, 40E-5, 40E-40, 40E-41, 40E-61, 40E-63 and 40E-400, F.A.C.

(2) The CPM is the initial basis for determining the appropriate amount for a particular penalty. The CPM reflects the District's statutory authority under Section 373.129, F.S., to seek civil penalties of up to \$10,000 per day, per violation. The CPM is comprised of two principle components:

(a) The actual or potential harm to the public and the environment that may occur as a result of the violation; and

(b) The extent of deviation from statutory or regulatory requirements.

(3) Because an economic advantage can be derived through avoidance of expenditures necessary to achieve compliance with District permitting rules and regulations, the District shall consider in its assessment of civil penalties any economic benefit which the violator may have gained through noncompliance.

(4) Multiple penalties shall be calculated for every violation which constitutes an independent and substantially distinguishable violation, or when the same person has violated the same requirement in substantially different locations.

(5) Multi-day penalties shall be calculated where daily advantage is being gained by the violator for an ongoing violation, computed by multiplying the original assessment

amount by the number of days of noncompliance.

Specific Authority 120.53(1), 373.044, 373.113, 373.333(1) FS. Law Implemented 120.69, 373.129, 373.209(3), 373.430, 373.603 FS. History— New 10-3-95.

40E-1.721 Complaints, District Investigations, Probable Cause Determinations and Notices of Violations.

(1) Any person may file a written complaint with the District alleging that a person is in violation of any of the provisions of Chapter 373, F.S., or provisions of Chapter 403, F.S., for which authority has been delegated to the District, or the rules promulgated thereunder or an order issued pursuant thereto. Any person may file a written complaint alleging that a lawfully issued District permit is causing a public or private nuisance. District investigations and probable cause determinations preliminary to District action are not subject to the provisions of Section 120.57, F.S., or the rules in this part promulgated thereunder.

(2) The complaint shall specify to the best of complainant's knowledge the identity of the alleged violator, the location and nature of the alleged violation, and any additional information deemed relevant or material by the complainant. The complaint must be signed by the complainant or the complainant's agent and contain an address or phone number where the complainant can be reached. The District shall request that the complainant to furnish any additional information reasonably necessary to aid in investigating the complaint.

(3) Upon receipt of a complaint filed pursuant to this section, the District shall conduct an investigation and make a determination of probable cause. Nothing in this rule shall preclude the District from conducting investigations and probable cause determinations as otherwise authorized or required by law.

(4) An investigation or determination of probable cause is a non-adversary executive function to discover or procure evidence as part of the fact finding function of the District. The District need not have an administrative complaint pending to conduct an investigation or make such a determination.

(5) Upon receipt of a field inspection or investigation report and upon a finding of probable cause, District staff are authorized to issue a Notice of Violation providing instructions for compliance with Chapter 373, F.S., and all applicable District rules. Nothing in this rule shall preclude the District from seeking injunctive relief or filing any other action that is authorized by Chapter 373, F.S.

Specific Authority 120.53(1), 120.54(10), 373.044, 373.113 FS. Law Implemented 120.53(1), 120.57(4), 373.219(2), 373.229(2), 373.333(2), 373.429 FS. History—New 9-3-81, Formerly 16K-1.09, 16K-2.03(3), Amended 5-11-93, Formerly 40E-1.510, Amended 10-3-95.