

Rules of the South Florida Water Management District

ENVIRONMENTAL RESOURCE PERMITS

Chapter 40E-4, F.A.C.



Effective: May 20, 2012
(Reduce Regulatory Burdens)

Overview of Chapter 40E-4 Environmental Resource Permits

[Note: The text on this page and the next provides a brief overview of the provisions of Chapter 40E-4, Florida Administrative Code (F.A.C.). The overview text is intended only to provide a basic understanding of the Chapter, and should not be used in place of the duly-adopted rule language or in a manner which is inconsistent with Chapter 40E-4, F.A.C.]

This Chapter sets forth the requirements for qualification by a project for an exemption from environmental resource permitting and for a Standard, an Individual, or a Conceptual Approval Environmental Resource Permit. Generally, all construction, alteration, operation, maintenance, removal, or abandonment of any stormwater management system, dam, impoundment, reservoir, or related work must have an Environmental Resource Permit. This includes activities previously known as "dredge and fill".

The District may also be petitioned to perform formal determinations of wetlands and other surface waters.

Section 40E-4.051 sets forth the activities which are exempt from permitting. Section 40E-4.0515 sets forth the activities which are exempt from some permitting criteria. Additional criteria may be superimposed if a project is to be located within an area in which the District has adopted basin rules; see Chapter 40E-41. Additional requirements for qualification by a project for a Standard Environmental Resource Permit are in Rule Chapter 40E-40.

Standard permits are issued by District staff. A 2009 amendment to Chapter 373 of the Florida Statutes delegates to executive directors of the state's water management district's the authority to approve permit applications related to water resources and environment. Conceptual approvals and individual permits are issued by the District's Executive Director. (See Subsections 40E-4.021(5) and 40E-4.021(26), respectively.)

All mitigation bank environmental resource permits are issued by the Executive Director. (See Subsections 40E-4.041(4), 40E-4.021(5), and 40E-4.021(26).)

An Individual Environmental Resource Permit is applicable for any project, system, or activity which equals or exceeds any one of the following criteria:

1. The surface water management system serves a project 100 acres or more in size.
2. Construction or alteration is proposed in, on, or over a total of one acre or more of wetlands or other surface waters.
3. More than nine boat slips are proposed.

Also, Subsection 40E-40.011(2) contains descriptions of activities which, regardless of the three criteria listed above, can require an application for an individual permit.

The Board may designate geographic areas within which thresholds apply which are lower than those listed above.

To apply for a Conceptual Approval or an Individual Environmental Resource Permit under this Rule Chapter, the applicant must submit a properly-completed and signed *Joint Application for Environmental Resource Permit/Authorization to Use State Owned Submerged Lands/Federal Dredge and Fill Permit*, Form 0971; the items and documents described in that form; and the appropriate fee.

CHAPTER 40E-4 ENVIRONMENTAL RESOURCE PERMITS

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40E-4.010 Review of Environmental Resource Permit Applications.

Environmental Resource permit applications are processed pursuant to the provisions of Section 120.60, F.S., Part VI of Chapter 40E-1 and 28-106, F.A.C.

Rulemaking Authority 120.54(5), 120.60 FS. Law Implemented 120.54(5), 120.60 FS. History—New 7-2-98.

40E-4.011 Policy and Purpose.

(1) It is the policy of the District to regulate activities in, on or over wetlands or other surface waters and the management and storage of all surface waters within its boundaries pursuant to the provisions of Chapter 373, F.S., and Chapters 40E-4, 40E-40, 40E-41 and 40E-400, F.A.C.

(2) This chapter, as well as Chapters 40E-40 and 40E-400, F.A.C., implement the comprehensive permit system contemplated in Part IV of Chapter 373, F.S.

(3) The rules relating to environmental resource permits are found in this chapter, Chapters 40E-40, (Environmental Resource Standard Permits) and 40E-41, F.A.C.

(Surface Water Management Basin and Related Criteria). In addition, no notice and noticed environmental resource general permits are found in Chapter 40E-400, F.A.C.

(4) Supplemental permit requirements for activities within defined geographical areas are found in Chapters 40E-41 (Surface Water Management Basin and Related Criteria), 40E-61, (Lake Okeechobee Surface Water Management and Improvement Permits), and 40E-63, F.A.C. (Everglades Agricultural Area Surface Water Management and Improvement Permits).

Rulemaking Authority 373.044, 373.113 FS. Law Implemented 373.086(1), 373.103(1), 373.103(4), 373.403-.443 FS. History—New 9-3-81, Formerly 16K-4.01, Amended 4-20-94, 10-3-95, 12-1-11.

40E-4.021 Definitions.

The definitions set forth in Sections 258.37, 373.019, 373.403, 403.803 and 704.06, F.S., and Rule 40E-1.021, F.A.C., shall apply to this chapter, Chapters 40E-40, 40E-41 and 40E-400, F.A.C. Additionally, as used in these chapters:

(1) “Abandon” or “Abandonment” means cessation of use and maintenance activities or responsibility for a system, or part of a system.

(2) “Conceptual Approval” means an environmental resource permit, issued by the District which approves a conceptual master plan for a surface water management system or a mitigation bank. Conceptual approvals constitute final District action, and are binding to the extent that adequate data has been made available for review by the applicant during the review process. To the extent that there is any inconsistency between the permit, staff report, and other information in the application file, the permit and staff report shall control.

(3) “Construction” means any activity including land clearing, earth moving or the erection of structures which will result in the creation of a system.

(4) “Embedment” means the placement of transmission or distribution lines, pipes or cables into the bottoms of waters of the State by minimal displacement of bottom material and without the creation of a trench, or trough, through the use of techniques such as plowing-in, weighing-in, or non-trenching jets.

(5) “Endangered species” means those animal species which are listed as endangered in Rule 68A-27.003, F.A.C., and those plant species which are listed in 50 Code of Federal Regulations 17.12, when such plants are found to be located in a wetland or other surface water.

(6) “Entrenchment” means the placement of transmission or distribution lines, pipes or cables into the bottoms of waters of the State by the creation of a defined trench, or trough, through the use of such devices as clamshells, dredges, trenching jets, or other devices which produce similar results.

(7) “Environmental resource permit” means a conceptual approval, individual or general permit for a surface water management system issued pursuant to Part IV, Chapter 373, F.S. Environmental resource permit also means a conceptual or individual permit for the establishment and operation of a mitigation bank.

(8) “General Permit” means a no notice or noticed general environmental resource permit issued by District staff. However, staff recommendations for denial of noticed general permit applications shall be considered by the Governing Board.

(9) “Incidental site activities” means those certain site activities in uplands which may

be conducted in conjunction with the work proposed in an environmental resource permit application such as: land clearing in uplands; minimal earthwork, lake construction; road subgrade construction; foundation construction; utility installation; fence installation; construction trailer installation; unconnected drainage facility construction; or other similar activities.

(10) "Individual Permit" means an environmental resource permit issued by the District.

(11) "Isolated Wetland" means any wetland without a direct hydrologic connection to a lake, stream, estuary, or marine water.

(12) "Listed Species" means those animal species which are endangered, threatened or of special concern and are listed in Rules 68A-27.003 (as amended December 16, 2003), 68A-27.004 (as amended May 15, 2008) and 68A-27.005 (as amended November 8, 2007), F.A.C., and those plant species listed in 50 Code of Federal Regulations 17.12 (as amended April 8, 2004), when such plants are found to be located in a wetland or other surface water.

(13) "Operation Permit" means a permit issued by the District authorizing the operation and maintenance of a surface water management system in accordance with the terms and conditions of the permit.

(14) "Other Surface Waters" means surface waters as described and delineated pursuant to Rule 62-340.600, F.A.C., as ratified by Section 373.4211, F.S., other than wetlands.

(15) "Posting" means placing notice on the District's website or on one of the District's official posting bulletin boards.

(16) "Riprap" means a sustaining wall made to reduce the force of waves and to protect the shore from erosion and consists of unconsolidated boulders, rocks, or clean concrete rubble with no exposed reinforcing rods or similar protrusions.

(17) "Species of Special Concern" means those animal species listed in Rule 68A-27.005, F.A.C.

(18) "State Water Quality Standards" means water quality standards adopted pursuant to Chapter 403, F.S.

(19) "Surface Water Management Permit" means a permit issued pursuant to Chapter 40E-4 or 40E-40, F.A.C., prior to October 3, 1995, or that is grandfathered pursuant to Sections 373.414(11)-(16), F.S.

(20) "Surface Water Management System" or "System" means a stormwater management system, dam, impoundment, reservoir, appurtenant work or works, or any combination thereof. The terms "surface water management system" or "system" includes areas of dredging or filling as defined by Section 373.403(13) and (14), F.S., respectively.

(21) "Threatened Species" means those animal species listed in Rule 68A-27.004, F.A.C., and those plant species which are listed as threatened in 50 Code of Federal Regulations 17.12.

(22) "Total Land Area" means land holdings under common ownership which are contiguous or land holdings which are served by common surface water management facilities.

(23) "Vertical Seawall" is a seawall the waterward face of which is at a slope greater than 75 degrees to the horizontal. A seawall with sloping riprap covering the waterward

face to the mean high water line shall not be considered a vertical seawall.

(24) "Wetland Resource Permit" means a permit issued pursuant to Chapter 62-312, F.A.C., prior to October 3, 1995, or that is grandfathered pursuant to Sections 373.414(11)-(16), F.S.

Rulemaking Authority 373.044, 373.113 FS. Law Implemented 373.019, 373.403-.443, 403.031, 668.003, 668.004, 668.50, 704.06 FS. History—New 9-3-81, Amended 1-31-82, 12-1-82, Formerly 16K-1.05(1), Amended 7-1-86, 4-20-94, 10-3-95, 4-1-96, 10-1-06, 3-22-09, 11-11-09, 12-1-11, 5-20-12.

40E-4.031 Implementation.

(1) The effective dates for the permit program developed pursuant to Part IV, Chapter 373, F.S., are:

(a) January 12, 1977, for the portion of the District formerly within the Ridge and Lower Gulf Coast Water Management District.

(b) March 2, 1974, for the remainder of the District.

(2) The rules implementing the Environmental Resource Permit program shall apply to all projects which do not have a complete permit application, as evidenced by a letter of completeness from the District on the effective date of the rule, unless the project is grandfathered pursuant to Section 373.414, F.S.

(3) Unless otherwise addressed by this rule, an application deemed complete prior to the effective date of a rule shall be governed by the rule in effect at the time the application became complete.

Rulemaking Authority 373.044, 373.113, 373.171 FS. Law Implemented 373.403-.443 FS. History—New 9-3-81, Amended 4-20-94, 10-5-95.

40E-4.041 Permits Required.

(1) Unless expressly exempt by law or rule, it shall be unlawful for any person to construct, alter, operate, maintain, remove or abandon any stormwater management system, dam, impoundment, reservoir, appurtenant work or works, or any combination thereof, including dredging or filling without first having obtained an environmental resource permit from the District. This includes the maintenance and operation of existing agricultural surface water management systems and the construction of new agricultural surface water management systems.

(2) The District issues three types of environmental resource permits: conceptual approval permits; individual permits; and general permits.

(a) Conceptual approval permits are issued in individual form only. A conceptual approval may be issued for projects that are to be developed in phases. A conceptual approval does not authorize any construction, alteration, operation, maintenance, removal or abandonment of a surface water management system.

(b) An individual permit shall be issued pursuant to Chapter 40E-4, F.A.C., for projects that do not qualify for general permits based on the threshold conditions set forth in Chapters 40E-40 and 40E-400, F.A.C.

(c) General permits are issued in two forms: no notice and noticed general environmental resource permits. General permits are issued for specified activities or projects that satisfy the thresholds and conditions of Chapter 40E-400, F.A.C. No notice and noticed general permits are issued pursuant to Chapter 40E-400, F.A.C.

1. If the District notifies an applicant that the system for which a noticed general permit is sought does not qualify for the noticed general permit, the applicant may apply for a standard or individual permit.

2. The application fee for the noticed general permit shall be applied to the application fee for a standard or individual permit if the applicant applies for such a permit within 60 days of notification by the District.

(3) For environmental resource permit applications and permit applications under subsections 373.414(11)-(16), F.S., which involve activities located on submerged lands owned by the Board of Trustees of the Internal Improvement Trust Fund under Chapter 253 or 258, F.S., the District shall conduct concurrent application review procedures in accordance with Section 373.427, F.S., Chapter 18-21, F.A.C., and Rules 62-343.075 and 18-18.014, F.A.C.

(4) The District issues two types of mitigation bank environmental resource permits: conceptual approvals and individual permits, pursuant to Section 4.4 of the "Basis of Review for Environmental Resource Permit Applications within the South Florida Water Management District," incorporated by reference in paragraph 40E-4.091(1)(a), F.A.C. A conceptual approval does not authorize the establishment or operation of the mitigation bank. A mitigation bank individual permit authorizes the establishment and operation of a mitigation bank and constitutes authorization pursuant to Chapters 40E-4, 40E-40 or 40E-400, F.A.C., as applicable, to construct any surface water management system proposed as part of the mitigation bank.

(5) Any dredging or filling in, on or over surface waters of the state that is authorized by an individual or general permit issued under Chapters 40E-4 and 40E-40, F.A.C., as these chapters existed prior to October 3, 1995, but is not authorized by a permit or an exemption under Chapter 62-312, F.A.C., (1994) shall require an environmental resource permit prior to the dredging or filling. However, such dredging or filling shall be exempt from the requirements of paragraphs 40E-4.301(1)(a) through (e) and (g) through (k), F.A.C.

Rulemaking Authority 373.044, 373.113, 373.406(5) FS. Law Implemented 373.103, 373.413, 373.416, 373.426 FS. History—New 9-3-81, Amended 12-1-82, Formerly 16K-4.03(1), 16K-4.07(1), 16K-4.09(1), Amended 1-23-94, 4-20-94, 10-3-95, 4-1-96, 1-7-97, 7-22-07, 12-1-11.

40E-4.0415 Permit Thresholds.

(1) A system which exceeds any one of the following threshold conditions must obtain an individual environmental resource permit:

(a) The system serves a project of 100 acres or more; or
(b) Construction or alteration of the system, including dredging or filling, is proposed in, on, or over a total of one acre or more of wetlands or other surface waters; however, calculation of the one acre area shall not include:

1. Ditches and wholly owned ponds that were constructed in uplands;
2. Any isolated wetlands with a surface area of less than 0.5 acres.

(c) The system includes more than nine proposed boat slips.

(2) Any non-exempt system which does not qualify for a noticed or no-notice general environmental resource permit pursuant to Chapter 40E-400, F.A.C., and does not exceed the standard for individual permits listed above, shall obtain a standard permit

pursuant to Chapter 40E-40, F.A.C.

(3) Notwithstanding the provisions of subsections (1) and (2):

(a) The Governing Board has, in Rules 40E-41.023, 40E-41.123, 40E-41.223, and 40E-41.323, F.A.C., designated specific geographic areas in which additional surface water management criteria are necessary in order to ensure that construction, alteration, operation, maintenance, removal or abandonment of surface water management systems is not harmful to the water resources.

(b) Phases within a conceptually approved project shall be processed as standard permits provided:

1. The proposed activity is consistent with the conceptual approval permit;
2. The approved conceptual plan includes the location and acreage of wetlands onsite, an assessment of wetland impacts, and a conceptual mitigation plan (if required); and
3. The approved conceptual plan includes the approximate size, location, and discharge points of the proposed stormwater management system.

Rulemaking Authority 373.044, 373.113, 373.406(5) FS. Law Implemented 373.118(1), 373.413(1) FS. History—New 10-3-95, Amended 5-28-00, 6-26-02, 4-14-03, 12-1-11.

40E-4.042 Formal Determination of Wetlands and Other Surface Waters.

(1) In accordance with Section 373.421(2), F.S., a real property owner, an entity that has the power of eminent domain, or any other person who has a legal or equitable interest in real property may petition the District for a formal determination of the landward boundaries of wetlands and other surface waters on that property as defined in Chapter 62-340, F.A.C., and ratified by Section 373.4211, F.S., and incorporated by reference in paragraph 40D-4.091(1)(g), F.A.C.

(2) The Executive Director is delegated the authority of the Governing Board to take final action on petitions for formal wetland and other surface water determinations.

(3) The process and procedures for filing a petition for a formal determination of wetlands and other surface waters are set forth in Section 4.5 of the "Basis of Review for Environmental Resource Permit Applications Within the South Florida Water Management District," incorporated by reference in paragraph 40E-4.091(1)(a), F.A.C.

(4) A formal determination shall be binding for five years provided physical conditions on the property do not change so as to alter the boundaries of the wetlands or other surface waters during that period.

(5) In accordance with Section 373.421(4), F.S., a formal determination may be revoked upon a finding that the petitioner submitted inaccurate information to the District.

Rulemaking Authority 373.043, 373.113, 373.421(2) FS. Law Implemented 373.421(2) FS. History—New 10-3-95, Amended 7-22-07, 12-1-11.

40E-4.051 Exemptions From Permitting.

The District will exempt from regulation under Section 373, Part IV, F.S., those activities that the District determines will have only minimal or insignificant individual or cumulative adverse impacts on the water resources of the district. Exemptions from permitting under Chapters 40E-4, 40E-40 and 40E-400, F.A.C., are set forth below in addition to those set forth in Sections 373.406 and 403.813, F.S. The performance of

activities pursuant to the provisions of the exemptions set forth in this section does not relieve the person or persons who are using the exemption or who are constructing or otherwise implementing the activity from meeting the permitting or performance requirements of other District rules. Nothing in this section shall prohibit the Department of Environment Protection from taking appropriate enforcement action pursuant to Chapter 403, F.S., to abate or prohibit any activity otherwise exempt from permitting pursuant to this section if the Department can demonstrate that the exempted activity has caused water pollution in violation of Chapter 403, F.S.

(1) Docking Facilities and Boat Ramps.

(a) The construction, replacement or repair of mooring pilings and dolphins associated with private docking facilities.

(b) The installation or repair of private docks, piers and recreational docking facilities, or piers and recreational docking facilities of local governmental entities when the local governmental entity's activities will not take place in any manatee habitat, and of which docks have 1000 square feet or less of surface area over wetlands or other surface waters, or 500 square feet or less of surface area over wetlands or other surface waters for docks which are located in Outstanding Florida Waters. This exemption shall include the construction and repair of structures above the dock area, such as boat shelters and gazebos, provided such structures are not enclosed with walls and doors, are not used for residential or commercial purposes, or storage of materials other than those associated with recreational use, and provided the structures do not exceed, together with the docking facility, the total area limitations, above. To qualify for this exemption, any such structure:

1. Shall be used for recreational, non-commercial activities;
 2. Shall be constructed or held in place by pilings, including floating docks, so as not to involve filling or dredging other than necessary to install the pilings;
 3. Shall not substantially impede the flow of water or create a navigational hazard;
- and

4. Shall be the sole dock constructed pursuant to this exemption as measured along the shoreline for a minimum distance of 65 feet, unless the parcel of land or individual lot as platted is less than 65 feet in length along the shoreline, in which case there may be one exempt dock allowed per parcel or lot. For the purposes of this paragraph, multi-family living complexes and other types of complexes or facilities associated with the proposed private dock shall be treated as one parcel of property regardless of the legal division of ownership or control of the associated property. Construction of a dock under this exemption does not require the District to issue a subsequent permit to construct a channel to provide navigational access to the dock. Activities associated with a dock shall include the construction of structures attached to the dock which are only suitable for the mooring or storage of boats (i.e., boatlifts).

(c) Construction of private docks as described in Section 403.813(l)(i), F.S., in artificially created waterways where construction will not violate water quality standards, impede navigation, or adversely affect flood control.

(d) The replacement or repair of existing docks, mooring piles or piers, provided:

1. No fill material other than the piles is used;
2. The replaced or repaired dock or mooring pile is in the same location and of the same configuration and dimensions as the dock or mooring pile being replaced or

repaired; and

3. The dock or mooring pile must be functional and able to provide access to boats moored at the dock or pile before this exemption may be used unless such dock or mooring pile has been rendered non-functional by a discrete event such as a storm, flood, accident, or fire.

(e) The construction and maintenance to design specifications of boat ramps on artificial bodies of water where navigational access to the proposed ramp exists, or the construction and maintenance to design specifications of boat ramps open to the public in any wetlands or other surface waters where navigational access to the proposed ramp exists and where the construction of the proposed ramp will be less than 30 feet wide and will involve the removal of less than 25 cubic yards of material from the wetlands or other surface waters, and the installation of docks with an area of 500 square feet or less over wetlands or other surface waters that are associated with and adjoining the boat ramps constructed pursuant to this exemption. All material removed shall be placed upon a self-contained upland site so as to prevent the escape of the spoil material and return water from the spoil site into the wetlands or other surface waters. For the purpose of this exemption, artificial bodies of water shall include residential canal systems, canals permitted by a District created under Section 373.069, F.S., and artificially created portions of the Florida Intracoastal Waterway.

(2) Shore Stabilization. Construction of seawalls or riprap, including only that backfilling needed to level the land behind seawalls or riprap, in artificially created waterways, where such construction will not violate existing water quality standards, impede navigation or adversely affect flood control. An artificially created waterway is defined as a body of water that has been totally dredged or excavated and which does not overlap natural wetlands or other surface waters. For the purpose of this exemption, artificially created waterways shall also include existing residential canal systems. This exemption does not apply to the construction of vertical seawalls in estuaries or lagoons unless the proposed construction is within an existing man-made canal where the shoreline is currently occupied in whole or in part by vertical seawalls.

(3) Transmission and Distribution Lines and Utility Poles.

(a) Activities necessary to preserve, restore, repair, remove, or replace an existing communication or power pole or line, provided that the work does not involve dredge and fill activities other than the removal of the existing structure and the installation of the new structure, and, in the case of a power pole or line, the activity does not increase the voltage of existing power lines. An activity does not qualify to use this exemption if it results in relocation of an existing structure or facility more than 10 feet in any direction from its original location, or if it involves construction of new power or telephone lines or the repair and replacement of existing structures that require dredge and fill activities in order to provide access to the site.

(b) The installation, removal, and replacement of utility poles that support telephone or communication cable lines, or electric distribution lines of 35kV or less, together with the bases and anchoring devices to support those poles, as specified below. For the purpose of this exemption, "anchoring device" shall mean steel guy wires fastened to the ground, without the need for dredging, and "base" shall mean a concrete or steel foundation not exceeding four feet in radius, used to support a utility pole. This exemption shall be subject to the following conditions:

1. No more than 15 utility poles may be installed, removed, or replaced in wetlands;
 2. This exemption shall not apply in surface waters other than wetlands;
 3. The temporary disturbance to wetlands shall be limited to a length of 0.5 miles, an areal extent of 0.5 acre, and a width of 30 feet to access the site to actually install, remove, or replace the utility poles; thereafter, maintenance of the utility right-of-way in wetlands shall be limited to a cleared corridor that does not exceed a total width of 15 feet and a total area of 0.25 ac.;
 4. This exemption shall not apply in forested wetlands located within 550 feet from the mean or ordinary high water line of a named waterbody that is designated as an Outstanding Florida Water or an Outstanding National Resource Water, or to activities in any Aquatic Preserves;
 5. There shall be no permanent placement of fill other than utility poles and anchoring devices;
 6. There shall be no dredging or filling of fill pads or access roads except for temporary mats, which may be used to access pole installation sites, and all temporary mats shall be removed within thirty days after the installation, removal or replacement of the utility poles, associated bases, and anchoring devices;
 7. The installation of the utility pole(s) and associated bases and anchoring devices shall not interfere with navigation or impede water flow in wetlands;
 8. Turbidity, sedimentation, and erosion shall be controlled during and after construction to prevent violations of state water quality standards due to construction related activities;
 9. Except for the permitted structures, pre-construction ground elevations and the contours of all soils that are disturbed by construction activities, including vehicle ruts in wetlands, shall be restored within 30 days of completion of the installation of the utility line or cable, and restored grades shall be stabilized within 72 hours following completion of elevation and contour restoration to minimize erosion;
 10. Vehicle usage in wetlands shall be conducted so as to minimize tire rutting and erosion impacts;
 11. Water jets shall not be used except for those which are a pre-engineered part of the pole, and provided that the water for the jets is either recirculated on-site or is discharged in a self-contained upland disposal site;
 12. Vehicular access in wetlands shall be limited to existing roads, trails, rights-of-way or easements, and to other previously disturbed corridors where they exist; and
 13. The permittee shall provide an annual report to the District which summarizes the activities conducted under this exemption for the period from January 1 to December 31 of each year, including: the acreage of temporary impacts in wetlands resulting from the use of temporary mats and the clearing of wetland vegetation; the extent of permanent impacts to wetlands including the number of poles and structures in wetlands and the acreage of clearing in wetlands; the voltage of all electric lines that are installed; the number of times this exemption is used; the specific location of each line that is installed (including the county, the section, township, and range, and the identity of permanent landmarks such as roads and named wetlands and other surface waters within or adjacent to the work location), and the number of times and locations where water jets are used.
- (4) Bridges, Driveways and Roadway Crossings.

The construction or maintenance of culverted driveway or roadway crossings and bridges of artificial waterways, provided:

(a) This exemption shall apply only to wholly artificial, non-navigable drainage conveyances;

(b) The construction project area shall not exceed one acre, and the construction shall be for a discrete project that is not part of a larger plan of development which requires permitting under Chapters 40E-400, 40E-40, 40E-4, F.A.C., or this chapter;

(c) The artificial waterway in existing condition shall be not more than 4 feet deep, measured from the top of bank to the bottom of the artificial waterway;

(d) The person performing the exempt activity shall ensure that the size and capacity of the culvert will be adequate to pass normal high water stages of the artificial waterway without causing adverse impacts to upstream or downstream property, but the culvert shall not be larger than one 24 inch diameter pipe, or its equivalent; and in no instance shall the culvert(s) provide a smaller cross-sectional area or discharge capacity than any upstream culvert;

(e) The elevation of the culvert invert shall be at the existing bottom grade of the artificial waterway;

(f) The length of the driveway or roadway crossing the waterway shall not exceed 30 feet from top of bank to top of bank;

(g) The top width of the driveway or roadway shall not exceed 20 feet, the toe to toe width shall not exceed 40 feet, and side slopes shall be no steeper than 3 feet horizontal to 1 foot vertical;

(h) Clean fill used for the crossing shall be obtained from an upland borrow pit or from a dredge site that is in compliance with the permitting requirements of Part IV, Chapter 373, F.S., either through a permit or exemption issued by the District;

(i) There shall be no additional dredging, filling, or construction activities within the artificial waterway or project area, except those directly involved in the construction or operation and maintenance of the culverted crossing and those exempted from regulation under Part IV, Chapter 373, F.S.;

(j) All temporary fill in construction areas shall be removed and regraded to original elevations and revegetated;

(k) The person performing the exempt activity shall implement measures for erosion and pollution control using best management practices, including turbidity curtains or similar devices and other site specific practices to prevent violations of state water quality standards. Temporary erosion controls shall be implemented prior to and during construction, and permanent erosion control measures for all exposed soils shall be completed within 7 calendar days of the most recent construction activity;

(l) Any spoil material from construction or maintenance shall be used or disposed of on an upland portion of the property or shall be transported off site and deposited on a self-contained upland spoil site that is in compliance with the permitting requirements of Chapters 40E-4 and 40E-40, F.A.C., as applicable;

(m) If dewatering is performed, all temporary fill dikes and dewatering discharges shall be installed and constructed so that no upstream flooding or impoundment occurs and to prevent siltation, erosion or turbid discharges in violation of state water quality standards. Any temporary works shall be completely removed, and all areas upstream and downstream from the crossing shall be restored to grades, elevations and

conditions which existed before the construction;

(n) This exemption shall apply only to a maximum of 2 crossings on any total land area of property with a minimum distance of 500 feet between crossings; and

(o) This exemption shall not apply to activities involving relocation or other alteration of all or part of the artificial waterway, or construction for other than the proposed culvert crossing.

(5) Aids to Navigation.

The installation of aids to navigation, including bridge fender piles, "No Wake" and similar regulatory signs, and buoys associated with such aids, provided that the devices are marked in accordance with Section 327.40, F.S.

(6) Construction of Freshwater Fish Attractors.

Construction of freshwater fish attractors by Florida Fish and Wildlife Conservation Commission, U.S. Forest Service, and county and municipal governments, provided that the material to be used shall be clean concrete, rock, brush, logs, or trees, and shall be free of soils, preservatives, oil, grease, debris, litter, putrescible substances, "white goods," asphalt materials, tires, or other pollutants, and shall be firmly anchored to the bottom of the waterbody. The size of an individual fish attractor shall not exceed one quarter of an acre in area. The material shall be placed so that the top of the fish attractor is at least three (3) feet below the surface of the water at ordinary low water and shall be outside any posted navigational channels. No fish attractor material shall be placed on or in areas vegetated by native aquatic vegetation. The site shall be marked with a buoy or buoys to ensure that no material is deposited outside of the site.

(7) Installation of Piling Support Structures Associated With Water Testing or Monitoring Equipment by the Department or the District. Installation of piling support structures associated with water testing or monitoring equipment by the Department and Water Management Districts, provided that flow or navigation are not impeded.

(8) Agriculture, silviculture, floriculture, and horticulture as specified in Section 373.406(2) and (3), F.S., provided that:

(a) Alteration of the topography of any tract of land for purposes consistent with the practice of agriculture, silviculture, floriculture and horticulture, provided such alteration may not be for the sole or predominant purpose of impounding or obstructing surface waters.

(b) Construction, operation, or maintenance of any agricultural closed system. This exemption does not eliminate the necessity to meet generally accepted engineering practices for construction, operation, and maintenance of dams, dikes, or levees.

(9) Minor Roadway Safety Projects.

The construction of the following minor roadway safety projects provided that the capacity of existing swales, ditches, or other stormwater management systems is not reduced; the projects are not located within wetlands or other surface waters; and the projects include best management practices during construction to prevent secondary impacts in adjacent wetlands or other surface waters due to erosion and sedimentation:

(a) Sidewalks.

(b) Turnlanes less than 0.25 miles in length and other intersection improvements,

(c) Road widening and shoulder paving projects which do not result in the creation of additional traffic lanes.

(10) Recreational Paths.

Recreational paths that are not located within wetlands or other surface waters; include best management practices during construction to prevent secondary impacts in adjacent wetlands or other surface waters due to erosion and sedimentation; that have a width of eight feet or less for unidirectional paths and twelve feet or less for bidirectional paths; and which do not allow motorized vehicles powered by internal combustion engines except for maintenance and emergency vehicles.

Rulemaking Authority 373.044, 373.113, 373.171 FS. Law Implemented 373.406, 373.413, 373.416, 403.813(1), (2) FS. History—New 9-3-81, Amended 1-31-82, 3-9-83, Formerly 16K-4.02, Amended 4-20-94, 10-3-95, 5-28-00, 9-2-01, 4-14-03, 9-9-07, 12-1-11, 5-20-12.

40E-4.0515 Exemptions From Specified Review Criteria.

Exemptions from specified review criteria under Chapters 40E-4 and 40E-40, F.A.C., are as follows:

(1) Exemptions for Treatment or Disposal Systems.

(a) Alteration and maintenance of the following shall be exempt from the provisions in Chapter 40E-4, F.A.C., adopted to implementing subsections 373.414(1) through 373.414(6), 373.414(8), and 373.414(10), F.S.; and subsection 373.414(7), F.S., regarding any authority to apply state water quality standards within any works, impoundments, reservoirs, and other watercourses described in this subsection and any authority granted pursuant to Section 373.414, F.S. (1991):

1. Works, impoundments, reservoirs, and other watercourses constructed and operated solely for wastewater treatment or disposal in accordance with a valid permit reviewed or issued under Rule 62-302.520 or Chapters 62-17, 62-600, 62-610, 62-640, 62-650, 62-660, 62-670, 62-671, 62-673, 62-701, F.A.C., or Section 403.0885, F.S., or rules implementing Section 403.0885, F.S., except for treatment wetlands or receiving wetlands permitted to receive wastewater pursuant to Chapter 62-611, F.A.C., or Section 403.0885, F.S., or its implementing rules;

2. Works, impoundments, reservoirs, and other watercourses constructed solely for wastewater treatment or disposal before a construction permit was required under Chapter 403, F.S., and operated solely for wastewater treatment or disposal in accordance with a valid permit reviewed or issued under Rule 62-302.520, or Chapters 62-17, 62-600, 62-610, 62-640, 62-650, 62-660, 62-670, 62-671, 62-673, or 62-701, F.A.C., or Section 403.0885, F.S., or rules implementing Section 403.0885, F.S., except for treatment wetlands or receiving wetlands permitted to receive wastewater pursuant to Chapter 62-611, F.A.C., or Section 403.0885, F.S., or its implementing rules;

3. Works, impoundments, reservoirs, and other watercourses of less than 0.5 acres in combined area on a project-wide basis, constructed and operated solely for stormwater treatment in accordance with a noticed exemption under Chapter 62-25, F.A.C., or a valid permit issued under Chapters 62-25 (excluding Rule 62-25.042), 62-330, 40E-4, F.A.C., except those permitted as wetland stormwater treatment systems;

4. Works, impoundments, reservoirs, and other watercourses of less than 0.5 acres in combined areas on a project-wide basis, constructed and operated solely for stormwater treatment before a permit being required under Chapters 62-25 and 40E-4, F.A.C.

(b) Alteration and maintenance of the following shall be exempt from the provisions

in Chapter 40E-4, F.A.C., adopted to implement Sections 373.414(1), 373.414(2)(a), 373.414(8), and 373.414(10), F.S.; and Sections 373.414(3) through 373.414(6), F.S.; and Section 373.414(7), F.S., regarding any authority to apply state water quality standards within any works, impoundments, reservoirs, and other watercourses described in this subsection and any authority granted pursuant to Section 373.414, F.S. (1991), except for authority to protect threatened and endangered species in isolated wetlands:

1. Works, impoundments, reservoirs, and other watercourses of 0.5 acre or greater in combined areas on a project-wide basis, constructed and operated solely for stormwater treatment in accordance with a noticed exemption under Chapter 62-25, F.A.C., or a valid permit issued under Chapters 62-25 (excluding Rule 62-25.042), 62-330, 40E-4, F.A.C., except those permitted as wetland stormwater treatment systems.

2. Works, impoundments, reservoirs, and other watercourses of 0.5 acres or greater in combined area on a project-wide basis, constructed and operated solely for stormwater treatment before a permit was required under Chapters 62-25 and 40E-4, F.A.C.

(c) The exemptions in paragraphs (a) and (b) above shall not apply to works, impoundments, reservoirs or other watercourses that are:

1. Currently wetlands which existed before construction of the stormwater treatment system and were incorporated in it;

2. Being altered through expansion into wetlands or other surface waters; or

3. Wetlands created, enhanced or restored as mitigation for wetland or other surface water impacts under a permit issued by the Department or the District.

(d) Alterations and maintenance of works, impoundments, reservoirs and other watercourses exempt under this subsection shall not be considered in determining whether the wetland permitting threshold in Rule 40E-4.0415 or subsection 40E-40.302(2), F.A.C., are met or exceeded.

(e) Works, impoundments, reservoirs and other watercourses exempt under this subsection, other than isolated wetlands in systems described in paragraph (b) above, shall not be delineated under Section 373.421, F.S.

(f) This exemption shall not affect the application of state water quality standards, including those applicable to Outstanding Florida Waters, at the point of discharge to waters as defined in subsection 403.031(13), F.S.

(g) As used in this subsection, "solely for" means the reason for which a work, impoundment, reservoir, or other watercourse is constructed and operated, and such construction and operation would not have occurred but for the purposes identified in paragraphs (a) and (b) above. Furthermore, the phrase does not refer to a work, impoundment, reservoir, or other watercourse constructed or operated for multiple purposes. Incidental uses, such as occasional recreational uses, will not render the exemption inapplicable, so long as the incidental uses are not part of the original planned purpose of the work, impoundment, reservoir or other watercourse. However, for those works, impoundments, reservoirs, or other watercourses described in subparagraphs (a)3. and (b)1., use of the system for flood attenuation, whether originally planned or unplanned, shall be considered an incidental use so long as the works, impoundments, reservoirs, and other watercourses are no more than two acres larger than the minimum area required to comply with the applicable stormwater

treatment requirements of Chapters 40E-4, 62-25 and 62-330, F.A.C. For the purposes of this subsection, reuse from a work, impoundment, reservoir, or other watercourse is part of treatment or disposal.

(2) Surface Waters or Wetlands Created by Mosquito Control Activities. Construction, alteration, operation, maintenance, removal, and abandonment of stormwater management systems, dams, impoundments, reservoirs, appurtenant works, or works, in, on, or over lands that have become surface waters or wetlands solely because of mosquito control activities undertaken as a part of a governmental mosquito control program, and which lands were neither surface water or wetlands before such activities, shall be exempt from the provisions in this Chapter adopted by the District to implement Sections 373.414(1) through (6); 373.414(7), F.S., regarding any authority granted pursuant to Sections 373.414, F.S. (1991); 373.414(8) and 373.414(10), F.S.

(3) The performance of activities in accordance with the provisions of the exemptions set forth in this section does not relieve the person or persons who are using the exemption or who are constructing or otherwise implementing the activity from meeting the permitting or performance requirements of other District rules.

Rulemaking Authority 373.044, 373.113, 373.171 FS. Law Implemented 373.406, 373.413, 373.416, 403.813(2) FS. History—New 10-3-95, Amended 5-28-00.

40E-4.052 Request for Exemption.

Rulemaking Authority 373.044, 373.113 FS. Law Implemented 373.406, 373.413 FS. History—New 3-9-83, Repealed 4-20-94.

40E-4.053 Conditions for Exemption.

Rulemaking Authority 373.044, 373.113 FS. Law Implemented 373.406, 373.413 FS. History—New 3-9-83, Repealed 4-20-94.

40E-4.054 Modification of Exempt Projects.

In order to modify a project which was exempt from permitting under this chapter, an environmental resource permit must be obtained, unless the proposed modification of the surface water management system qualifies for an exemption pursuant to Rule 40E-4.051, F.A.C.

Rulemaking Authority 373.044, 373.113 FS. Law Implemented 373.406, 373.413, 373.416 FS. History—New 3-9-83, Amended 4-20-94, 10-3-95, 5-28-00.

40E-4.091 Publications, Rules and Interagency Agreements Incorporated by Reference.

(1) The following publications, rules and interagency agreements are incorporated by reference herein:

(a) "Basis of Review for Environmental Resource Permit Applications within the South Florida Water Management District, May 20, 2012, <http://www.flrules.org/Gateway/reference.asp?No=Ref-01045>, which incorporates the following forms or materials by reference:

1. Form No. 0972, Petition for a Formal Wetland and Surface Water Determination, May 20, 2012, <http://www.flrules.org/gateway/reference.asp?No=Ref-01047>, (referenced in Section 4.5.1);
2. Form No. 1019, Mitigation Bank Performance Bond to Demonstrate Construction/Implementation Financial Assurance, December 2011, <http://www.flrules.org/Gateway/reference.asp?No=Ref-00069>, (referenced in Section 4.4.4.7);
3. Form No. 1020, Mitigation Bank Irrevocable Letter of Credit to Demonstrate Construction/Implementation Financial Assurance, December 2011, <http://www.flrules.org/Gateway/reference.asp?No=Ref-00070>, (referenced in Section 4.4.4.7);
4. Form No. 1021, Mitigation Bank Standby Trust Fund Agreement to Demonstrate Construction/Implementation Financial Assurance, December 2011, <http://www.flrules.org/Gateway/reference.asp?No=Ref-00071>, (referenced in Section 4.4.4.7);
5. Form No. 1022, Mitigation Bank Trust Fund Agreement to Demonstrate Construction/Implementation Financial Assurance, December 2011, <http://www.flrules.org/Gateway/reference.asp?No=Ref-00072>, (referenced in Section 4.4.4.7);
6. Form No. 1023, Mitigation Bank Trust Fund Agreement to Demonstrate Perpetual Management Financial Assurance, December 2011, <http://www.flrules.org/Gateway/reference.asp?No=Ref-00073>, (referenced in Section 4.4.4.7);
7. Form No. 1024, Mitigation Bank Standby Trust Fund Agreement to Demonstrate Perpetual Management Financial Assurance, December 2011, <http://www.flrules.org/Gateway/reference.asp?No=Ref-00074>, (referenced in Section 4.4.4.7);
8. Form No. 1105, Performance Bond, December 2011, <http://www.flrules.org/Gateway/reference.asp?No=Ref-00075>, (referenced in Section 4.3.7.6);
9. Form No. 1106, Irrevocable Letter of Credit, December 2011, <http://www.flrules.org/Gateway/reference.asp?No=Ref-00076>, (referenced in Section 4.3.7.6);
10. Form No. 1190, Deed of Conservation Easement (Standard), December 2011 <http://www.flrules.org/Gateway/reference.asp?No=Ref-00078>, (referenced in Section 4.3.8);
11. Form No. 1191, Deed of Conservation Easement (Standard Passive Recreational), December 2011, <http://www.flrules.org/Gateway/reference.asp?No=Ref-00079>, (referenced in Section 4.3.8);
12. Form No. 1192, Deed of Conservation Easement (Standard Riparian), December 2011, <http://www.flrules.org/Gateway/reference.asp?No=Ref-00080>, (referenced in Section 4.3.8);
13. Form No. 1194, Deed of Conservation Easement (Third Party Standard), December 2011, <http://www.flrules.org/Gateway/reference.asp?No=Ref-00081> (referenced in Section 4.3.8);
14. Form No. 1195, Deed of Conservation Easement (Third Party Passive Recreational), December 2011, <http://www.flrules.org/Gateway/reference.asp?No=Ref-00082>, (referenced in Section 4.3.8);
15. Form No. 1196, Deed of Conservation Easement (Third Party Riparian), December 2011, <http://www.flrules.org/Gateway/reference.asp?No=Ref-00083>, (referenced in Section 4.3.8);
16. Form No. 1197, Restrictive Covenant (Standard), December 2011, <http://www.flrules.org/Gateway/reference.asp?No=Ref-00084>, (referenced in Section 4.3.8);
17. Form No. 1318, Deed of Conservation Easement (Local Governments), December 2011, <http://www.flrules.org/Gateway/reference.asp?No=Ref-00085>, (referenced in Section 4.3.8);
18. Chapter 27, Article XIII, Wellfield Protection Ordinance, Broward County Code of Ordinances, last amended September 28, 1999, <http://www.flrules.org/Gateway/reference.asp?No=Ref-00052>;

19. Dade County Wellfield Protection Ordinance contour showing maximum limits (Section 24-43 Protection of Public Potable Water Supply Wells; Chapter 24 Environmental Protection; Code of Metropolitan Dade County, Florida; Codified through Ordinance No. 11-01, enacted January 20, 2011 (Supp. No. 68)), <http://www.flrules.org/Gateway/reference.asp?No=Ref-00053>.

(b) 50 C.F.R., section 17.12, April 8, 2004, <http://www.flrules.org/Gateway/reference.asp?No=Ref-00044>; and Rules 68A-27.003, December 16, 2003, <http://www.flrules.org/Gateway/reference.asp?No=Ref-00092>, 68A-27.004, May 15, 2008, <http://www.flrules.org/Gateway/reference.asp?No=Ref-00444>, and 68A-27.005, F.A.C., November 8, 2007, <http://www.flrules.org/Gateway/reference.asp?No=Ref-00093>.

(c) Operating Agreement Concerning Regulation Under Part IV, Chapter 373, F.S., between South Florida Water Management District and Department of Environmental Protection, July 1, 2007, <http://www.flrules.org/Gateway/reference.asp?No=Ref-00055>.

(d) State water quality standards set forth in Chapters 62-4 April 21, 2009, <http://www.flrules.org/Gateway/reference.asp?No=Ref-00034>, 62-302, August 5, 2010, <http://www.flrules.org/Gateway/reference.asp?No=Ref-00035>, 62-520, July 12, 2009, <http://www.flrules.org/Gateway/reference.asp?No=Ref-00036>, 62-522, July 12, 2009, <http://www.flrules.org/Gateway/reference.asp?No=Ref-00037>, and 62-550, F.A.C., September 18, 2007, <http://www.flrules.org/Gateway/reference.asp?No=Ref-00038>.

(e) Chapter 62-312, Part IV, F.A.C., "Additional Criteria for Dredging and Filling Within Outstanding Florida Waters in Monroe County," March 15, 2007, <http://www.flrules.org/Gateway/reference.asp?No=Ref-00039>.

(f) 40 C.F.R., section 264.143(f), for the purpose of providing financial responsibility and corporate guarantee requirements, July 14, 2006, <http://www.flrules.org/Gateway/reference.asp?No=Ref-00057>.

(g) Chapter 62-340, F.A.C., as ratified by Section 373.4211, F.S., for the purpose of delineating wetlands and other surface waters, July 1, 1994, <http://www.flrules.org/Gateway/reference.asp?No=Ref-00040>.

(h) 30 C.F.R., section 800.23 self-bonding provisions, January 14, 1988 <http://www.flrules.org/Gateway/reference.asp?No=Ref-00056>.

(i) Delegation Agreement among the Florida Department of Environmental Protection, the South Florida Water Management District, and Broward County, (dated May 22, 2001), <http://www.flrules.org/Gateway/reference.asp?No=Ref-00054>.

(2) The documents listed in subsection (1) can also be obtained at no cost by contacting the South Florida Water Management District Clerk's Office, South Florida Water Management District, 3301 Gun Club Road, West Palm Beach, FL 33406, (800) 432-2045, ext. 6436, or (561) 682-6436.

Rulemaking Authority 373.044, 373.103(8), 373.113, 373.171, 373.413, 373.441, 668.003, 668.004, 668.50, 704.06 FS. Law Implemented 373.413, 373.4135, 373.4137, 373.414, 373.4142, 373.416, 373.418, 373.421, 373.426, 373.441, 668.003, 668.004, 668.50, 695.26, 704.06 FS. History—New 9-3-81, Amended 1-31-82, 12-1-82, Formerly 16K-4.035(1), Amended 5-1-86, 7-1-86, 3-24-87, 4-14-87, 4-21-88, 11-21-89, 11-15-92, 1-23-94, 4-20-94, 10-3-95, 1-7-97, 12-3-98, 5-28-00, 8-16-00, 1-17-01, 7-19-01, 6-26-02, 6-26-02, 4-6-03, 4-14-03, 9-16-03, 12-7-04, 2-12-06, 10-1-06, 11-20-06, 1-23-07, 7-1-07, 7-22-07, 11-11-09, 7-1-10, 7-4-10, 12-15-11, 5-20-12.

40E-4.101 Content of Permit Applications.

(1) Applications for permits required by this chapter, Chapters 40E-40, 40E-41 and

40E-400, F.A.C., shall be filed electronically at www.sfwmd.gov/ePermitting, or at South Florida Water Management District, Regulation Reception Desk, 3301 Gun Club Road, West Palm Beach, FL 33406, (561) 682-6736, or at any of the District's Service Centers. The addresses and phone numbers of the District's Service Centers are online at www.sfwmd.gov, "Locations." For projects located in Broward County, applications shall be filed either at the District's Headquarters in West Palm Beach or with Broward County Environmental Protection and Growth Management Department, Development and Environmental Regulation Division, 1 North University Drive, Suite 201, Plantation, FL 33324, (954) 519-1473, in accordance with the Delegation Agreement among the Florida Department of Environmental Protection, the South Florida Water Management District, and Broward County, dated May 22, 2001, incorporated by reference in paragraph 40E-4.091(1)(h), F.A.C. The application shall contain:

(a) The information required in Section 373.413(2), F.S.

(b) If an applicant chooses not to submit an application electronically via the District's ePermitting website at www.sfwmd.gov/ePermitting, one original and one copy of Joint Water Management District/Department of Environmental Protection/U.S. Army Corps of Engineers Environmental Resource Permit Application Form No. 0971 must be submitted as well as one copy of drawings, calculations, environmental information, and engineering details sufficient to define the nature, scope, intent and functioning of the work proposed. This information must include at a minimum: flood protection, water quality, environmental impacts, proposed mitigation, water supply, and water conservation elements. Applicants who file an application electronically are not required to submit copies. Form No. 0971, May, 2012, <http://www.flrules.org/Gateway/reference.asp?No=Ref-01046>, is incorporated by reference herein and available at no cost by contacting the South Florida Water Management District Clerk's Office, 3301 Gun Club Road, West Palm Beach, FL 33406, 1(800)432-2045, ext. 6436 or (561) 682-6436.

(2) The application must be signed by the owner or the owner's authorized agent and include documentation of ownership. Applications signed by agents must contain a letter of authorization which is signed by the owner. Those having the right to exercise the power of eminent domain or having a contract to purchase real property may apply for a permit, however, the permit shall prohibit commencement of work until the permittee provides proof of ownership to the District. A permit shall only be issued to the record title holder, holder of a recorded easement conveying the right to utilize the property for a purpose consistent with the authorization requested in the permit application, those having the right to exercise the power of eminent domain or having a contract to purchase real property. A Notice of Environmental Resource or Surface Water Management Permit (Form No. 1189) shall be recorded in the public records of the county where the property is located. This notice shall not be considered an encumbrance upon the property. Form No. 1189, December 2011, <http://www.flrules.org/Gateway/reference.asp?No=Ref-00077>, is incorporated by reference herein and available at no cost by contacting the South Florida Water Management District Clerk's Office, 3301 Gun Club Road, West Palm Beach, FL 33406, 1(800)432-2045, ext. 6436 or (561) 682-6436.

(3) Environmental resource permit applications shall be filed and processed in accordance with Chapters 120 and 373, F.S., following the procedures set forth in

Chapter 40E-1, F.A.C., and by utilizing the forms listed in Rule 40E-1.659, F.A.C.

(4) Applicants are advised that Chapter 471, F.S., sets forth certification requirements for engineering activities. Where required by law or District rule, surface water management system design plans must be signed and sealed by a professional engineer or other individual authorized by law. Chapter 471, F.S., sets forth exemptions to engineer certification.

Rulemaking Authority 373.016, 373.044, 373.113, 373.171, 668.003, 373.416, 668.004, 668.50 FS. Law Implemented 373.016, 373.117, 373.413, 373.416, 373.426, 668.003, 668.004, 668.50 FS. History—New 9-3-81, Amended 1-31-82, 12-1-82, Formerly 16K-4.03(2), 16K-4.07(2), 16K-4.09(2), Amended 7-1-86, 11-21-89, 4-20-94, 10-3-95, 5-28-00, 4-14-03, 8-14-03, 2-12-06, 10-1-06, 12-1-11, 5-20-12.

40E-4.201 Forms and Instructions.

District forms and instructions have been approved by the Governing Board and are listed in Rule 40E-1.659, F.A.C.

Rulemaking Authority 120.53(1), 373.044, 373.113, 373.118 FS. Law Implemented 120.53(1), 373.044, 373.113, 373.116, 373.118, 373.229, 373.413, 373.421 FS. History—New 10-3-95, Amended 12-1-11.

40E-4.205 Permit Application Processing Fees.

There shall be a non-refundable permit application processing fee as specified by Rule 40E-1.607, F.A.C., made payable to the District at the time a conceptual approval, individual or general permit application is submitted.

Rulemaking Authority 373.044, 373.109, 373.113, 373.171, 373.421 FS. Law Implemented 373.109, 373.421 FS. History—New 10-3-95.

40E-4.301 Conditions for Issuance of Permits.

(1) In order to obtain a standard general, individual, or conceptual approval permit under this chapter or Chapter 40E-40, F.A.C., an applicant must provide reasonable assurance that the construction, alteration, operation, maintenance, removal or abandonment of a surface water management system:

(a) Will not cause adverse water quantity impacts to receiving waters and adjacent lands;

(b) Will not cause adverse flooding to on-site or off-site property;

(c) Will not cause adverse impacts to existing surface water storage and conveyance capabilities;

(d) Will not adversely impact the value of functions provided to fish and wildlife and listed species by wetlands and other surface waters;

(e) Will not adversely affect the quality of receiving waters such that the water quality standards set forth in Chapters 62-4, 62-302, 62-520, 62-522 and 62-550, F.A.C., incorporated by reference in paragraph 40E-4.091(1)(d), F.A.C., including any antidegradation provisions of paragraphs 62-4.242(1)(a) and (b), subsections 62-4.242(2) and (3), and Rule 62-302.300, F.A.C., and any special standards for Outstanding Florida Waters and Outstanding National Resource Waters set forth in subsections 62-4.242(2) and (3), F.A.C., will be violated;

(f) Will not cause adverse secondary impacts to the water resources;

(g) Will not adversely impact the maintenance of surface or ground water levels or surface water flows established pursuant to Chapter 373.042, F.S.;

(h) Will not cause adverse impacts to a work of the District established pursuant to Section 373.086, F.S.;

(i) Will be capable, based on generally accepted engineering and scientific principles, of being performed and of functioning as proposed;

(j) Will be conducted by an entity with the sufficient financial, legal and administrative capability to ensure that the activity will be undertaken in accordance with the terms and conditions of the permit, if issued; and

(k) Will comply with any applicable special basin or geographic area criteria established in Chapter 40E-41, F.A.C.

(2) If the applicant is unable to meet water quality standards because existing ambient water quality does not meet standards, the applicant must comply with the requirements set forth in subsection 4.2.4.5 of the "Basis of Review for Environmental Resource Permit Applications Within the South Florida Water Management District," incorporated by reference in paragraph 40E-4.091(1)(a), F.A.C.

(3) The standards and criteria, including the mitigation provisions, and the provisions for elimination or reduction of impacts, contained in the "Basis of Review for Environmental Resource Permit Applications Within the South Florida Water Management District," incorporated by reference in paragraph 40E-4.091(1)(a), F.A.C., shall determine whether the reasonable assurances required by subsection 40E-4.301(1) and Rule 40E-4.302, F.A.C., have been provided.

(4) For all environmental resource permit applications and permit applications under subsections 373.414(11)-(16), F.S., which involve activities located on submerged lands owned by the Board of Trustees of the Internal Improvement Trust Fund under Chapter 253 or 258, F.S., the District shall conduct concurrent application and review procedures in accordance with Section 373.427, F.S., Chapter 18-21, F.A.C., and Rules 62-343.075 and 18-18.014, F.A.C.

Rulemaking Authority 373.044, 373.113 FS. Law Implemented 373.413, 373.416, 373.426 FS. History—New 9-3-81, Amended 1-31-82, 12-1-82, Formerly 16K-4.035(2), 16K-4.30, Amended 7-1-86, 3-24-87, 4-14-87, 7-9-87, 4-21-88, 4-20-94, 10-3-95, 4-1-96, 1-7-97, 7-22-07, 12-1-11.

40E-4.302 Additional Conditions for Issuance of Permits.

(1) In addition to the conditions set forth in Rule 40E-4.301, F.A.C., in order to obtain a standard, general, individual, or conceptual approval permit under this chapter or Chapter 40E-40, F.A.C., an applicant must provide reasonable assurance that the construction, alteration, operation, maintenance, removal, and abandonment of a system:

(a) Located in, on, or over wetlands or other surface waters will not be contrary to the public interest, or if such an activity significantly degrades or is within an Outstanding Florida Water, that the activity will be clearly in the public interest, as determined by balancing the following criteria as set forth in subsections 4.2.3 through 4.2.3.7 of the "Basis of Review for Environmental Resource Permit Applications Within the South Florida Water Management District," incorporated by reference in paragraph 40E-4.091(1)(a), F.A.C.:

1. Whether the activity will adversely affect the public health, safety or welfare or the property of others;
2. Whether the activity will adversely affect the conservation of fish and wildlife, including endangered or threatened species, or their habitats;
3. Whether the activity will adversely affect navigation or the flow of water or cause harmful erosion or shoaling;
4. Whether the activity will adversely affect the fishing or recreational values or marine productivity in the vicinity of the activity;
5. Whether the activity will be of a temporary or permanent nature;
6. Whether the activity will adversely affect or will enhance significant historical and archaeological resources under the provisions of Section 267.061, F.S.; and
7. The current condition and relative value of functions being performed by areas affected by the proposed activity.

(b) Will not cause unacceptable cumulative impacts upon wetlands and other surface waters as set forth in subsections 4.2.8 through 4.2.8.2 of the "Basis of Review for Environmental Resource Permit Applications Within the South Florida Water Management District," incorporated by reference in paragraph 40E-4.091(1)(a), F.A.C.

(c) Located in, adjacent to, or in close proximity to Class II waters or located in Class II waters or Class III waters classified by the Department as approved, restricted or conditionally restricted for shellfish harvesting as set forth and incorporated in Chapter 5L-1, F.A.C., will comply with the additional criteria in subsection 4.2.5 of the "Basis of Review for Environmental Resource Permit Applications Within the South Florida Water Management District," incorporated by reference in paragraph 40E-4.091(1)(a), F.A.C.

(d) Which constitute vertical seawalls in estuaries or lagoons, will comply with the additional criteria provided in subsection 4.2.6 of the "Basis of Review for Environmental Resource Permit Applications Within the South Florida Water Management District," incorporated by reference in paragraph 40E-4.091(1)(a), F.A.C.

(2) When determining whether the applicant has provided reasonable assurances that District permitting standards will be met, the District shall take into consideration a permit applicant's violation of any Department rules adopted pursuant to Sections 403.91-.929, F.S. (1984 Supp.), as amended, which the District had the responsibility to enforce pursuant to a delegation, or any District rules adopted pursuant to Part IV, Chapter 373, F.S., relating to any other project or activity and efforts taken by the applicant to resolve these violations. The Department's delegation to the District to enforce the rules adopted pursuant to Sections 403.91-.929, F.S. (1984 Supp.), as amended, is set forth in the "Operating Agreement Concerning Regulation Under Part IV, Chapter 373, F.S., between South Florida Water Management District and Department of Environmental Protection" dated July 1, 2007, incorporated by reference in paragraph 40E-4.091(1)(c), F.A.C.

Rulemaking Authority 373.044, 373.113, 373.171, 373.414(9) FS. Law Implemented 373.042, 373.409, 373.413, 373.414, 373.416, 373.426, 380.23 FS. History—New 10-3-95, Amended 1-7-97, 12-3-98, 5-28-00, 7-1-07, 7-22-07, 12-1-11.

40E-4.303 Environmental Resource Permit Authorization.

(1) For individual, standard and general permits issued pursuant to Chapters 40E-4 and 40E-40, F.A.C., a completed permit application shall also constitute an application

for certification of compliance with state water quality standards where necessary pursuant to Section 401, Public Law 92-500, 33 USC Section 1341. Issuance of the permit shall constitute certification of compliance with state water quality standards unless the permit is issued pursuant to the net improvement provisions of Section 373.414(1)(b), F.S., or the permit specifically states otherwise.

(2) For projects located in or seaward of coastal counties, and which have regulated activities in, on or over wetlands or other surface waters, as delineated by the methodology ratified pursuant to Section 373.4211, F.S., a complete application for an individual, standard or general environmental resource permit shall constitute a request for the state's concurrence that the project is consistent with the Florida Coastal Zone Management Program as provided in Section 307 of the Coastal Zone Management Act and 15 C.F.R. 930, Subpart D. Issuance of the permit shall constitute such concurrence of consistency.

Rulemaking Authority 373.044, 373.113 FS. Law Implemented 373.413, 373.416, 373.421 FS. History—New 10-3-95, Amended 12-1-11.

40E-4.305 Conceptual Approvals.

(1) Conceptual approvals constitute final District action and are binding to the extent that adequate data has been submitted for review by the applicant during the review process.

(2) A conceptual approval does not authorize construction, alteration, operation, maintenance, removal or abandonment of a surface water management system or the establishment and operation of a mitigation bank.

(3) A permit application submitted pursuant to a conceptual approval must be consistent with the staff report and conditions of the conceptual approval. Primary areas for consistency comparisons include type of land use, percent imperviousness, allowable discharge, wetland and other surface water impacts and proposed mitigation, control elevations, sources of water supply and detention/retention volumes. To the extent that there is any inconsistency between the permit and staff report and other information in the application file, the permit and staff report shall control.

(4) For phased projects, the approval process must begin with an application for a conceptual approval which shall be the first permit issued for the project. An application for construction authorization of the first phase(s) may also be included as a part of the initial application. As the permittee desires to construct additional phases, new applications shall be processed as individual, standard or general environmental resource permit applications pursuant to the conceptual approval. The conceptual approval, individual, standard and general permits shall be modified in accordance with conditions contained in Chapters 40E-4 and 40E-40, F.A.C.

(5) Issuance of a conceptual approval permit pursuant to Chapter 40E-4, F.A.C., shall not relieve the applicant of any requirements for obtaining a permit to construct, alter, operate, maintain, remove or abandon a surface water management system or establish or operate a mitigation bank, nor shall the conceptual approval permit applicant be relieved of the District's informational requirements or the need to meet the standards of issuance of permits pursuant to Chapters 40E-4 or 40E-40, F.A.C.

(6) An applicant may seek conceptual approval under this chapter concurrently with a Development of Regional Impact (DRI) application for development approval (ADA)

and a local government comprehensive plan amendment as allowed by Section 380.06(9)(a)1., F.S. For projects which have filed an application for a Conceptual Approval concurrently with an Application for Development Approval (ADA) for a Development of Regional Impact (DRI), conceptual approval also means “conceptual agency review” as defined in Section 380.06(9)(a)2., F.S.

(7) In the District’s evaluation of permit applications, rules and criteria in effect at the time of the issuance of the conceptual approval, or at the time of the most recent modification of the Conceptual Approval, shall apply unless particular aspects of the project were not previously addressed in the Conceptual Approval. In such a case, rules and criteria in effect at the time of the individual or general permit application is completed shall apply to review of the previously unaddressed aspects.

(8) Any delineation of the extent of a wetland or other surface water submitted as part of the permit application, including supporting documentation, shall not be considered binding unless a specific condition of this permit or a formal determination under Rule 40E-4.042, F.A.C., provides otherwise.

(9) An individual environmental resource permit application cannot be used alone to modify a Conceptual Approval. The intention to modify the conceptual approval must be explicitly stated or requested. Conceptual approval and individual environmental resource permits can be modified or issued concurrently under a single application.

(10) Applications for individual project phases, where no conceptual approval has been obtained, shall be considered only when the phases are totally independent of, or make sufficient provisions for, adjacent lands.

Rulemaking Authority 373.044, 373.113, 373.171, 380.06(9) FS. Law Implemented 373.413, 373.416, 373.421(2), 380.06(9) FS. History—New 10-3-95, Amended 4-14-03, 12-1-11.

40E-4.311 Variances from Specified Review Criteria for Environmental Resource Permits.

(1) The Governing Board is authorized to grant a variance from the provisions of Section 373.414, F.S., paragraph 40E-4.301(1)(e) or Rule 40E-4.302, F.A.C., pursuant to Section 403.201, F.S. The variance under this rule is provided in addition to the variance and waiver procedures set forth in Rule 28-104, F.A.C., which implements Section 120.542, F.S.

(2) A person seeking a variance must demonstrate that any hardship asserted as a basis of the need for a variance is peculiar to the affected property and not self-imposed and that the grant of a variance will be consistent with the general intent and purpose of this chapter.

(3) Any person seeking a variance shall file a petition for a variance that contains the following information:

- (a) The petitioner’s name and signature.
- (b) The statute or rule from which the variance is sought.
- (c) Facts showing that a variance should be granted for one of the reasons set forth in Section 403.201, F.S.
- (d) The time period for which the variance is sought, not to exceed the time period permitted by law, including the reasons and facts supporting the time period.
- (e) The requirements which the petitioner can meet including the date or time when

the requirements will be met.

(f) The steps or measures the petitioner is taking to meet the requirement from which the variance is sought. If the request is pursuant to subsection 40E-4.311(1), F.A.C., above, the petitioner shall include a schedule when compliance will be achieved.

(g) The social, economic and environmental impacts on the applicant, residents of the area and of the state if the variance is granted.

(h) The social, economic and environmental impacts on the applicant, residents of the area and of the state if the variance is denied.

(4) The District shall review the application within a reasonable period of time after receipt to determine if the application is complete. If the application is determined to be incomplete, the applicant shall be afforded an opportunity to supply additional information before the District evaluates the merits of the request.

(5) The District shall prepare a notice of proposed agency action regarding the petition for a variance. The District shall publish this notice one time in the Florida Administrative Weekly, and one time in a newspaper of general circulation, as defined in Section 50.031, F.S., in the county in which the property for which the variance is sought is located.

(6) Renewals of variances shall be applied for in the same manner as the initial variance.

Rulemaking Authority 373.044, 373.113, 373.171, 373.414(17) FS. Law Implemented 403.201 FS. History—New 10-3-95, Amended 7-2-98, 6-12-00.

40E-4.321 Duration of Permits.

(1) Unless revoked or otherwise modified the duration of an environmental resource permit issued under this chapter or Chapter 40E-40, F.A.C., is as follows:

(a) For a conceptual approval, five years from the date of issuance or the date specified as a condition of the permit, unless within that period an application for an individual, standard or general permit is filed for any portion of the project. If an application for an environmental resource permit is filed, then the conceptual approval remains valid until final action is taken on the environmental resource permit application. If the application is granted, then the conceptual approval is valid for an additional two years from the date of issuance of the permit. Conceptual approvals which have no individual, standard or general environmental resource permit applications filed for a period of five years shall expire automatically at the end of the five year period.

(b) For a conceptual approval filed concurrently with a development of regional impact (DRI) application for development approval (ADA) and a local government comprehensive plan amendment, the duration of the conceptual approval shall be five years from whichever one of the following occurs at the latest date:

1. The effective date of the local government's comprehensive plan amendment,
2. The effective date of the local government development order,
3. The date on which the District issues the conceptual approval, or
4. The date on which the District issues a final order pertaining to the resolution of any Section 120.57, F.S., administrative proceeding or other legal appeals.

(c) For an individual, standard or general environmental resource permit, the construction phase authorizing construction, removal, alteration or abandonment of a system shall expire five years from the date of issuance or such amount of time as

made a condition of the permit.

(d) For an individual, standard or general environmental resource permit, the operational phase of the permit is perpetual for operation and maintenance.

(e) For a noticed general permit issued pursuant to Chapter 40E-400, F.A.C., five years from the date the notice of intent to use the permit is provided to the District.

(2)(a) Unless prescribed by special permit condition, permits expire automatically according to the timeframes indicated in this rule. If application for extension is made by electronic mail at the District's e-Permitting website or in writing pursuant to subsection (3), the permit shall remain in full force and effect until:

1. The District takes action on an application for extension of an individual permit, or
2. Staff takes action on an application for extension of a standard permit.

(b) Installation of the project outfall structure shall not constitute a vesting of the permit.

(3) The permit extension shall be issued provided that a permittee files a written request with the District showing good cause prior to the expiration of the permit. For the purpose of this rule, good cause shall mean a set of extenuating circumstances outside of the control of the permittee. Requests for extensions, which shall include documentation of the extenuating circumstances and how they have delayed this project, will not be accepted more than 180 days prior to the expiration date.

(4) Substantial modifications to Conceptual Approvals will extend the duration of the Conceptual Approval for five years from the date of issuance of the modification. For the purposes of this section, the term "substantial modification" shall mean a modification which is reasonably expected to lead to substantially different water resource or environmental impacts which require a detailed review.

(5) Substantial modifications to individual, standard or general environmental resource permits issued pursuant to a permit application extend the duration of the permit for three years from the date of issuance of the modification. Individual, standard or general environmental resource permit modifications do not extend the duration of a conceptual approval.

(6) Permit modifications issued pursuant to paragraph 40E-4.331(2)(b), F.A.C. (letter modifications) do not extend the duration of the permit.

(7) Failure to complete construction or alteration of the surface water management system and obtain operation phase approval from the District within the permit duration shall require a new permit authorization in order to continue construction unless a permit extension is granted.

Rulemaking Authority 373.044, 373.113, 668.003, 668.004, 668.50 FS. Law Implemented 373.413, 373.416, 373.419, 373.426, 668.003, 668.004, 668.50 FS. History—New 9-3-81, Amended 1-31-82, 12-1-82, Formerly 16K-4.07(4), Amended 7-1-86, 4-20-94, 10-3-95, 5-28-00, 10-1-06, 12-1-11, 5-20-12.

40E-4.331 Modification of Permits.

An application for modification of an environmental resource or surface water management permit shall be processed in accordance with this rule, unless the permit has expired or has been otherwise revoked or suspended.

(1) Applications to modify a conceptual approval may be made for an alteration of the design of the permitted surface water management system. Those portions of the

modified project, and any additional areas impacted by the modification(s), shall be reviewed in accordance with the same criteria in effect at the time of said modification.

(2) Applications to modify environmental resource, or surface water management individual, standard or general permits shall be made by the following methods:

(a) District permit application as described in Rule 40E-4.101, F.A.C. Permit modification applications shall be reviewed using the same criteria as new applications for those portions of the project proposed for, or affected by, the modification;

(b) By letter, provided the requested modification does not:

1. Substantially modify the permit authorization, or any permit conditions;
2. Increase the authorized off-site discharge;
3. Impact the environmental features of the project including wetlands and other surface waters;
4. Decrease the required retention/detention;
5. Decrease the required flood control elevations for roads or buildings; or
6. Decrease pollution removal efficiency.

(c) Modifications pursuant to paragraph (2)(b) above are acknowledged and approved by letter from the Regulation Division Director or designee through correspondence to the permittee.

(3) The same review time and informational requirements which apply to initial permit applications shall apply to all applications to modify an existing valid permit.

Rulemaking Authority 373.044, 373.113 FS. Law Implemented 373.413, 373.416(1) FS. History—New 12-1-82, Formerly 16K-2.031(1), 16K-2.032(1)(a), Amended 7-1-86, 11-21-89, 4-20-94, 10-3-95, 12-1-11.

40E-4.341 District Revocation or Modification of Permits.

(1) The Governing Board may revoke a permit in accordance with the provisions of Sections 373.429 and 120.60(5), F.S., and Rules 40E-1.609 and 28-106.2015, F.A.C.

(2) The Governing Board shall revoke or modify a permit at any time if it determines that a stormwater management system, dam, impoundment, reservoir, appurtenant work, works or any combination thereof, has become a danger to the public health or safety, or if its operation has become inconsistent with the objectives of the District.

Rulemaking Authority 373.044, 373.113 FS. Law Implemented 373.429 FS. History—New 12-1-82, Amended 7-1-86, 4-20-94, 10-3-95, 7-2-98, 5-28-00.

40E-4.351 Transfer of Permits.

(1) Any transfer of project ownership or transfer of a permit is subject to the requirements of Rules 40E-1.6105 and 40E-1.6107, F.A.C.

(2) Notification of a transfer shall not constitute a permit transfer under Rule 40E-1.6107, F.A.C.

(3) The District shall approve the transfer of the permit if the requirements set forth in Rule 40E-1.6107, F.A.C., are met. If the District proposes to deny the transfer, it shall provide both the existing permittee and the proposed transferee a written objection to such transfer together with the notice of rights to request a hearing pursuant to Section 120.57, F.S., regarding such agency action.

(4) Until the permit is transferred pursuant to Rule 40E-1.6107, F.A.C., the permittee shall be liable for compliance with the terms of the permit.

Rulemaking Authority 373.044, 373.113 FS. Law Implemented 373.413, 373.416(2) FS. History—New 9-3-81, Amended 12-1-82, Formerly 16K-4.07(4), Amended 4-20-94, 10-3-95.

40E-4.361 Conversion from Construction Phase to Operation Phase.

(1) In order to convert an environmental resource or surface water management permit from the construction phase to the operational phase, the permittee shall submit the following:

(a) A completed and executed Request for Conversion of Environmental Resource/Surface Water Management Permit from Construction Phase to Operation Phase and Transfer of Permit to the Operating Entity (Form No. 0920). Form No. 0920, December 2011, <https://www.flrules.org/gateway/reference.asp?NO=Ref-00062>, is incorporated by reference herein and available at no cost by contacting the South Florida Water Management District Clerk's Office, 3301 Gun Club Road, West Palm Beach, FL 33406, 1(800)432-2045, ext. 6436 or (561)682-6436;

(b) A completed and executed Environmental Resource/Surface Water Management Permit Construction Completion Certification (Form No. 0881A) or Environmental Resource/Surface Water Management Permit Construction Completion Certification – For Projects Permitted Prior to October 3, 1995 (Form No. 0881B), in accordance with Section 10.0 of the “Basis of Review for Environmental Resource Permit Applications Within the South Florida Water Management District,” incorporated by reference in paragraph 40E-4.091(1)(a), F.A.C. Form No. 0881A, <https://www.flrules.org/gateway/reference.asp?NO=Ref-00059>, and Form No. 0881B, <https://www.flrules.org/gateway/reference.asp?NO=Ref-00060>, December 2011, and incorporated by reference herein and available at no cost by contacting the South Florida Water Management District Clerk's Office, 3301 Gun Club Road, West Palm Beach, FL 33406, 1(800)432-2045, ext. 6436 or (561) 682-6436; and

(c) Documentary evidence of satisfaction of permit conditions, other than long-term monitoring.

(2) The operation phase of a surface water management system which was required to be designed by a professional engineer or other individual authorized by law does not become effective until all of the following criteria have occurred:

(a) Within 30 days after completion of construction of the system, the permittee shall submit a signed and sealed certification by a professional engineer or other individual authorized by law indicating that the system has been constructed and that the system is ready for inspection by the District;

(b) The professional engineer or other individual authorized by law shall certify that:

1. The system has been constructed substantially in accordance with approved plans and specifications, or;

2. Any deviations from the approved plans and specifications will not prevent the system from functioning in compliance with the requirements of this rule and Section 10.0 of the “Basis of Review for Environmental Resource Permit Applications within the South Florida Water Management District,” incorporated by reference in paragraph 40E-4.091(1)(a), F.A.C. The professional engineer or other individual authorized by law shall note and explain substantial deviations from the approved plans and specifications and provide two copies of as-built drawings to the District or submit electronically at www.sfwmd.gov/ePermitting; and

(c) As-built drawings shall be the permitted drawings revised to reflect any changes made during construction. Both the original and revised specifications must be clearly shown. The plans must be clearly labeled as “as-built” drawings. All surveyed dimensions and elevations required shall be certified by a registered surveyor.

(3) A conversion to the operational phase shall not occur until a responsible entity meeting the requirements in Section 9.0 of the “Basis of Review for Environmental Resource Permit Applications within the South Florida Water Management District,” incorporated by reference in paragraph 40E-4.091(1)(a), F.A.C., has been established to operate and maintain the system. The entity must be provided with sufficient ownership, legal or equitable interest so that it has control over all water management facilities authorized by the permit.

(4) Upon the District’s confirmation of the submitted information, the permit shall be converted from the construction phase to the operation phase. If the operational entity differs from the initial permittee, the transfer shall be subject to Rule 40E-1.6107, F.A.C. (the Permit Transfer rule).

Rulemaking Authority 373.044, 373.113, 373.171 FS. Law Implemented 373.413, 373.416 FS. History—New 10-3-95, Amended 1-7-97, 4-14-03, 9-16-03, 7-22-07, 12-1-11.

40E-4.371 Abatement and Abandonment of a System.

Rulemaking Authority 373.044, 373.113, 373.171 FS. Law Implemented 373.426, 373.433 FS. History—New 10-3-95, Repealed 4-14-03.

40E-4.381 General Conditions.

(1) The following general conditions shall be applicable to and binding on all permits issued pursuant to this chapter and Chapter 40E-40, F.A.C., unless waived by the District upon a determination that the conditions are inapplicable to the activity authorized by the permit. These conditions are enforceable under Part IV, Chapter 373, F.S.

(a) All activities authorized by this permit shall be implemented as set forth in the plans, specifications and performance criteria as approved by this permit. Any deviation from the permitted activity and the conditions for undertaking that activity shall constitute a violation of this permit and Part IV, Chapter 373, F.S.

(b) This permit or a copy thereof, complete with all conditions, attachments, exhibits, and modifications shall be kept at the work site of the permitted activity. The complete permit shall be available for review at the work site upon request by the District staff. The permittee shall require the contractor to review the complete permit prior to commencement of the activity authorized by this permit.

(c) Activities approved by this permit shall be conducted in a manner which does not cause violations of state water quality standards. The permittee shall implement best management practices for erosion and pollution control to prevent violation of state water quality standards. Temporary erosion control shall be implemented prior to and during construction, and permanent control measures shall be completed within 7 days of any construction activity. Turbidity barriers shall be installed and maintained at all locations where the possibility of transferring suspended solids into the receiving waterbody exists due to the permitted work. Turbidity barriers shall remain in place at all

locations until construction is completed and soils are stabilized and vegetation has been established. Thereafter the permittee shall be responsible for the removal of the barriers. The permittee shall correct any erosion or shoaling that causes adverse impacts to the water resources.

(d) The permittee shall notify the District of the anticipated construction start date within 30 days of the date that this permit is issued. At least 48 hours prior to commencement of activity authorized by this permit, the permittee shall submit to the District an Environmental Resource/Surface Water Management Permit Construction Commencement Notice (Form No. 0960), indicating the actual start date and the expected completion date. Form No. 0960, December 2011, <https://www.flrules.org/gateway/reference.asp?NO=Ref-00063>, is incorporated by reference herein and available at no cost by contacting the South Florida Water Management District Clerk's Office, 3301 Gun Club Road, West Palm Beach, FL 33406, 1(800)432-2045, ext. 6436 or (561) 682-6436.

(e) When the duration of construction will exceed one year, the permittee shall submit construction status reports to the District on an annual basis utilizing the District's Environmental Resource/Surface Water Management Permit Annual Status Report for Surface Water Management System Construction (Form No. 0961). The Annual Status Report Forms shall be submitted the following June of each year. Form No. 0961, December 2011, <https://www.flrules.org/gateway/reference.asp?NO=Ref-00064>, is incorporated by reference herein and available at no cost by contacting the South Florida Water Management District Clerk's Office, 3301 Gun Club Road, West Palm Beach, FL 33406, 1(800)432-2045, ext. 6436 or (561) 682-6436.

(f) Within thirty days after completion of construction of the permitted activity, the permittee shall submit a written statement of completion and certification by a professional engineer or other individual authorized by law, utilizing the supplied Environmental Resource/Surface Water Management Permit Construction Completion Certification (Form No. 0881A) or Environmental Resource/Surface Water Management Permit Construction Completion Certification – For Projects Permitted Prior to October 3, 1995 (Form No. 0881B), incorporated by reference in paragraph 40E-1.659(1)(b), F.A.C. The statement of completion and certification shall be based on on-site observation of construction or review of as-built drawings for the purpose of determining if the work was completed in compliance with permitted plans and specifications. This submittal shall serve to notify the District that the system is ready for inspection. Additionally, if deviation from the approved drawings are discovered during the certification process, the certification must be accompanied by a copy of the approved permit drawings with deviations noted. Both the original and revised specifications must be clearly shown. The plans must be clearly labeled as “as-built” or “record” drawings. All surveyed dimensions and elevations shall be certified by a registered surveyor.

(g) The operation phase of this permit shall not become effective: until the permittee has complied with the requirements of paragraph (f) above, has submitted a Request for Conversion of Environmental Resource/Surface Water Management Permit from Construction Phase to Operation Phase and Transfer of Permit to the Operating Entity (Form No. 0920), incorporated by reference in paragraph 40E-4.361(1)(a), F.A.C.; the District determines the system to be in compliance with the permitted plans and specifications; and the entity approved by the District in accordance with Sections 9.0

and 10.0 of the “Basis of Review for Environmental Resource Permit Applications within the South Florida Water Management District,” incorporated by reference in paragraph 40E-4.091(1)(a), F.A.C., accepts responsibility for operation and maintenance of the system. The permit shall not be transferred to such approved operation and maintenance entity until the operation phase of the permit becomes effective. Following inspection and approval of the permitted system by the District, the permittee shall initiate transfer of the permit to the approved responsible operating entity if different from the permittee. Until the permit is transferred pursuant to Rule 40E-1.6107, F.A.C., the permittee shall be liable for compliance with the terms of the permit.

(h) Each phase or independent portion of the permitted system must be completed in accordance with the permitted plans and permit conditions prior to the initiation of the permitted use of site infrastructure located within the area served by that portion or phase of the system. Each phase or independent portion of the system must be completed in accordance with the permitted plans and permit conditions prior to transfer of responsibility for operation and maintenance of the phase or portion of the system to a local government or other responsible entity.

(i) For those systems that will be operated or maintained by an entity that will require an easement or deed restriction in order to enable that entity to operate or maintain the system in conformance with this permit, such easement or deed restriction must be recorded in the public records and submitted to the District along with any other final operation and maintenance documents required by Sections 9.0 and 10.0 of the “Basis of Review for Environmental Resource Permit Applications within the South Florida Water Management District,” incorporated by reference in Rule 40E-4.091, F.A.C., prior to lot or unit sales or prior to the completion of the system, whichever occurs first. Other documents concerning the establishment and authority of the operating entity must be filed with the Secretary of State where appropriate. For those systems which are proposed to be maintained by the county or municipal entities, final operation and maintenance documents must be received by the District when maintenance and operation of the system is accepted by the local government entity. Failure to submit the appropriate final documents will result in the permittee remaining liable for carrying out maintenance and operation of the permitted system and any other permit conditions.

(j) Should any other regulatory agency require changes to the permitted system, the permittee shall notify the District by electronic mail at the District’s e-Permitting website or in writing of the changes prior to implementation so that a determination can be made whether a permit modification is required.

(k) This permit does not eliminate the necessity to obtain any required federal, state, local and special district authorizations prior to the start of any activity approved by this permit. This permit does not convey to the permittee or create in the permittee any property right, or any interest in real property, nor does it authorize any entrance upon or activities on property which is not owned or controlled by the permittee, or convey any rights or privileges other than those specified in the permit and Chapter 40E-4 or 40E-40, F.A.C.

(l) The permittee is hereby advised that Section 253.77, F.S., states that a person may not commence any excavation, construction, or other activity involving the use of sovereign or other lands of the state, the title to which is vested in the Board of Trustees of the Internal Improvement Trust Fund without obtaining the required lease, license,

easement, or other form of consent authorizing the proposed use. Therefore, the permittee is responsible for obtaining any necessary authorizations from the Board of Trustees prior to commencing activity on sovereignty lands or other state-owned lands.

(m) The permittee must obtain a water use permit prior to construction dewatering, unless the work qualifies for a general permit pursuant to subsection 40E-20.302(3), F.A.C., also known as the “No Notice” rule.

(n) The permittee shall hold and save the District harmless from any and all damages, claims, or liabilities which may arise by reason of the construction, alteration, operation, maintenance, removal, abandonment or use of any system authorized by the permit.

(o) Any delineation of the extent of a wetland or other surface water submitted as part of the permit application, including plans or other supporting documentation, shall not be considered binding unless a specific condition of this permit or a formal determination under Rule 40E-4.042, F.A.C., provides otherwise.

(p) The permittee shall notify the District by electronic mail at the District’s e-Permitting website or in writing within 30 days of any sale, conveyance, or other transfer of ownership or control of a permitted system or the real property on which the permitted system is located. All transfers of ownership or transfers of a permit are subject to the requirements of Rules 40E-1.6105 and 40E-1.6107, F.A.C. The permittee transferring the permit shall remain liable for corrective actions that may be required as a result of any violations prior to the sale, conveyance or other transfer of the system.

(q) Upon reasonable notice to the permittee, District authorized staff with proper identification shall have permission to enter, inspect, sample and test the system to insure conformity with the plans and specifications approved by the permit.

(r) If historical or archaeological artifacts are discovered at any time on the project site, the permittee, or other designee, should contact the Florida Department of State, Division of Historical Resources, Review and Compliance Section at (850) 245-6333 or 1(800)847-7278, as well as the appropriate District Service Center. The phone numbers and addresses for the District’s Service Centers are located online at www.sfwmd.gov, “Locations.”

(s) The permittee shall immediately notify the District by electronic mail at the District’s e-Permitting website or in writing of any previously submitted information that is later discovered to be inaccurate.

(2) In addition to those general conditions set forth in subsection (1), the District shall impose on any permit granted under this chapter and Chapter 40E-40, F.A.C., such reasonable project-specific special conditions as are necessary to ensure that the permitted system will meet the conditions for issuance in Rules 40E-4.301 and 40E-4.302, F.A.C. Upon receipt of notice of proposed agency action, any substantially affected persons shall have the right to request a hearing in accordance with Rules 40E-1.511 and 40E-1.521, F.A.C.

Rulemaking Authority 373.044, 373.113, 373.171, 668.003, 668.004, 668.50 FS. Law Implemented 373.116, 373.229, 373.413, 373.416, 373.421, 373.422, 373.426, 668.003, 668.004, 668.50 FS. History—New 9-3-81, Amended 1-31-82, 12-1-82, Formerly 16K-4.07(3), 16K-4.38, Amended 7-1-86, 4-20-94, 10-3-95, 1-7-97, 4-14-03, 9-16-03, 10-1-06, 7-22-07, 12-1-11.

40E-4.451 Emergency Authorization.

(1) The District issues two types of emergency authorizations, pursuant to the procedures in Rule 40E-1.6115, F.A.C., as set forth below:

(a) Authorization to begin construction, alteration, operation, maintenance, removal or abandonment of a system prior to obtaining a permit may be applied for, in writing, when emergency conditions justify. However, no such permission shall be granted unless an environmental resource permit application for the proposed activity has been submitted. A serious set of unforeseen or unforeseeable circumstances must exist to create an emergency. Mere carelessness or lack of planning on the part of the applicant shall not be sufficient grounds to warrant the granting of emergency authorization.

(b) Upon the District's determination that an emergency exists within its geographic jurisdiction or any part thereof, the Executive Director shall issue an emergency order which shall describe the conditions which are causing the emergency and the type of corrective action necessary to minimize or abate the emergency condition.

1. An emergency exists when immediate action is necessary to protect the public health, safety or welfare; the health of animals, fish or aquatic life; the works of the District; a public water supply, or recreational, commercial, industrial, agricultural, or other reasonable uses.

2. The emergency order shall be delivered by service of process or by personal delivery by an agent of the District to the person responsible for conducting the corrective actions, or their agent. Such action shall include appropriate public notice in accordance with Chapter 50, F.S.

(2) All emergency authorization orders shall expire upon the granting or denial of the pending permit application, or as otherwise specified in the emergency authorization order.

Rulemaking Authority 373.044, 373.113 FS. Law Implemented 373.119(2), 373.413 FS. History—New 9-3-81, Formerly 16K-4.13, Amended 10-3-95, 7-2-98.