

New Follow-Up Report Format

**Presented By:
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More Frequent Reports

- A&F Committee requested more frequent report.
- Modified report format to better show the incremental changes from one period to the next.
- Includes only reports that still have recommendations that have not yet been fully implemented.

Report Format

- Executive Summary.
- Four Exhibits:
 - Exhibit 1: Summary of Status for all report
 - Exhibit 2: Summary by report
 - Exhibit 3: Summary by recommendation
 - Exhibit 4: Access database report

Exhibit 1

Summary of Recommendations Status

	In	Partially	
Prior Period Reports	Process	Implemented	Total
Status Beginning of Period	5	3	8
Implemented or Partially Implemented During Period	<u>(2)</u>	<u>(2)</u>	<u>(4)</u>
Remaining Recommendations to be Fully Implemented	<u>3</u>	<u>1</u>	<u>4</u>
Reports Issued During Current Period			
New Recommendations*	12	-	12
Implemented or Partially Implemented	<u>(7)</u>	<u>3</u>	<u>(4)</u>
Remaining Recommendations to be Fully Implemented	<u>5</u>	<u>3</u>	<u>8</u>
Current Status			
Remaining Recommendations to be Fully Implemented	<u>8</u>	<u>4</u>	<u>12</u>
* Initial Status is set as "In-Process"			

NOTE: Starting point for initial report was October 1, 2008.

Exhibit 2: Summary by Report

Audit No.	Audit Title	No. of Recs		In Process	Partially Implemented	No Longer Applicable	Implemented	
Recommendations - Prior Period Reports								
01-11	Hydrologic Modeling Program	7	Status Prior Period	0	1	0	6	Complete
			Change in Status	0	-1	0	1	
			Status Current Period	0	0	0	7	
05-15	Review of the Procurement Process	8	Status Prior Period	0	1	0	7	Complete
			Change in Status	0	-1	0	1	
			Status Current Period	0	0	0	8	
06-18	Audit of State and Federal Cost Share Agreements (Non-KRR & CERP)	3	Status Prior Period	1	0	0	2	Complete
			Change in Status	-1	0	0	1	
			Status Current Period	0	0	0	3	
06-19	Audit of the KRR Restoration Project In-Kind Credit Request Process	10	Status Prior Period	4	1	0	5	Open
			Change in Status	-1	0	0	1	
			Status Current Period	3	1	0	6	
Recommendation - Report Issued During Current Period								
07-36	Audit of the Information Technology Department	2	Status Prior Period	2	0	0	0	Open
			Change in Status	0	0	0	0	
			Status Current Period	2	0	0	0	
08-04	Audit of CERP Land Acquisition costs Incurred by Other Organizations	7	Status Prior Period	7	0	0	0	Open
			Change in Status	-5	3	0	2	
			Status Current Period	2	3	0	2	
08-12	Review of the GEPS Services Contracts	3	Status Prior Period	3	0	0	0	Open
			Change in Status	-2	0	0	2	
			Status Current Period	1	0	0	2	
TOTAL								
		40	Status Prior Period	17	3	0	20	
			Change in Status	-9	1	0	8	
			Status Current Period	8	4	0	28	
Number of Recommendations Remaining to Be Fully Implemented		12		8	4			

Exhibit 3: Detail Information for Each Recommendation

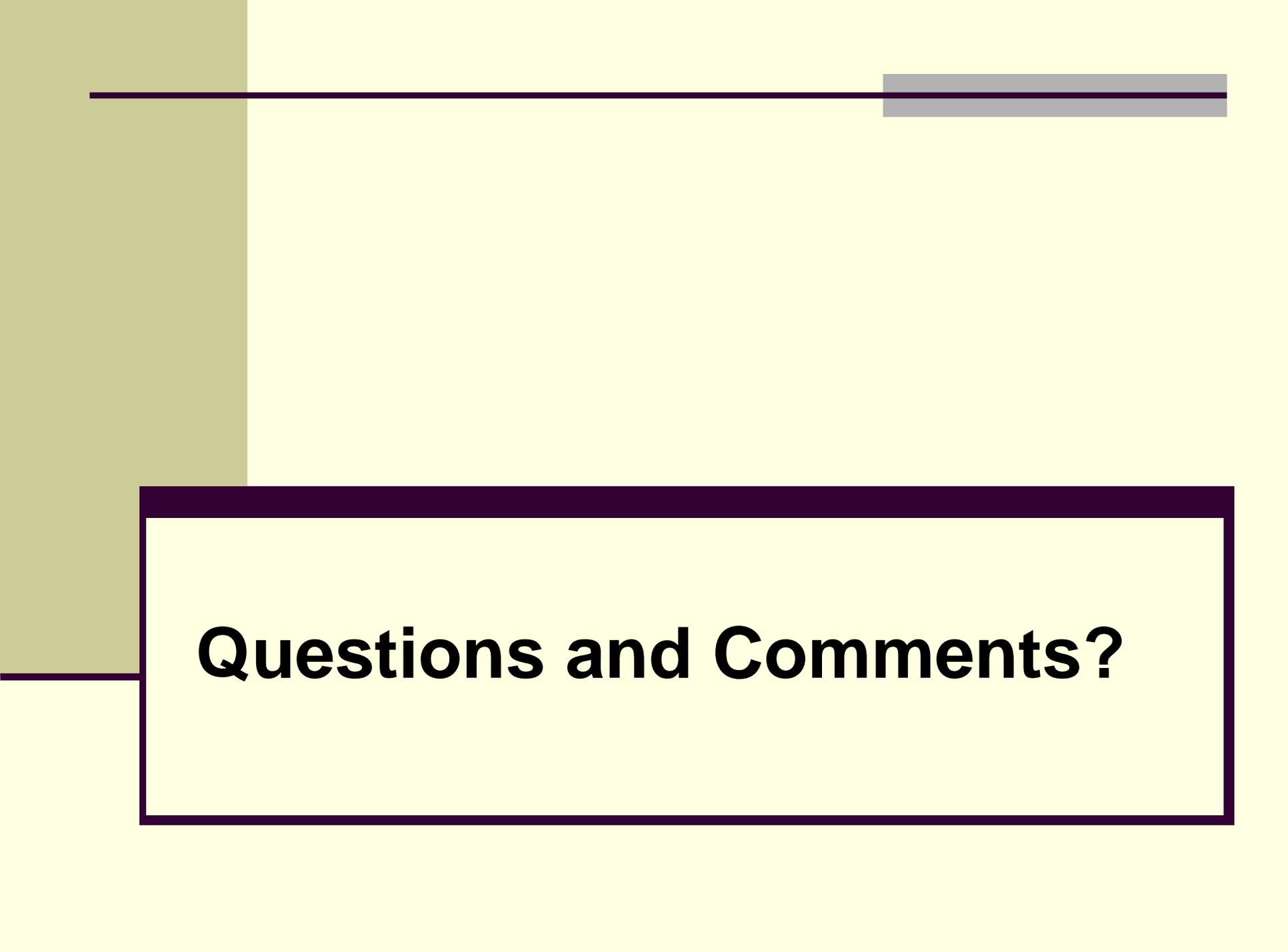
- Report Name, Report No. & Recommendation No.
- Status Prior Follow-Up Report & Status for the Current Report
- Original Report Recommendation
- Management Response
- Prior Reports Comments Regarding Status
- Current Period Comments Regarding Status

Audit No.	Rec No.	Audit Title	Status Prior Report	Status Current Report	Recommendation	Management Response	Prior Period Comments Regarding Status	Current Period Comments Regarding Status
01-11	5	Hydrologic Modeling Program	Partially Implemented	Implemented	Ensure that a disaster recovery backup copy of the server data is created and stored at an off-site location.	IT will review the backups and the procedures used for this server to make sure they adhere to the recommendations.	IT is implementing a full disaster recovery plan for the entire District. The HESM systems is a portion of the comprehensive disaster recovery plan. However, the phase of the project they currently in does not include HESM's systems and data. They have assessed HESM's immediate needs for phase 2, which include all of the modeling equipment necessary to support District Emergency operations. Terremark, Inc., also known as the NAP (network access point/provider), has been engaged and	HESM has worked with the Information Technology Department to identify critical modeling data to be stored offsite. The volume of information is estimated at one (1) Terabyte of data. This data is backed up to tape and stored off-site with U and Me Records Management and Destruction on a weekly basis. The Network Access Point of the Americas is for critical systems that must be functioning immediately after a disaster whereas U and Me Records Management and Destruction is the preferred method of storing

Exhibit 4:

Access Database Report

- Includes those recommendations that have not been fully implemented as of the end of the period
- Includes additional information such as due dates.



Questions and Comments?